

# Dynamical SUSY Breaking and Meta-Stable Vacua

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# Dynamical Supersymmetry Breaking:

- **No explicit breaking:**  $\mathcal{L} = \mathcal{L}_{SUSY}$
- Vacuum **spontaneously** breaks SUSY.
- SUSY breaking related to some **dynamical scale**

$$\Lambda = M_{cutoff} e^{-c/g(M_{cutoff})^2} \ll M_{cutoff}$$

Can naturally get hierarchies (Witten).

# DSB looks **non-generic**

- **Witten index**: All SUSY gauge theories with massive, vector-like matter have  $\text{Tr}(-1)^F \neq 0$  SUSY vacua. So for broken SUSY, need a **chiral\* gauge theory**.
  - SUSY breaking is related to breaking global symmetries (**Affleck, Dine and Seiberg**).
  - SUSY breaking requires an R-symmetry (or non-generic superpotential) (**Nelson and Seiberg**).
- \*Some vector-like exceptions, with massless matter (**KI and Thomas; Izawa and Yanagida**).

# DSB is hard to analyze

Most of our techniques to analyze SUSY theories are based on **holomorphy/chirality/BPS**.

But for a detailed analysis of SUSY breaking we need to know the **Kahler potential**, which is hard to analyze and control.

Since the vacuum is not SUSY, dependence on parameters might not be smooth – can be phase transitions.

# “Simplest” example of calculable DSB (Affleck, Dine, Seiberg)

$SU(3) \times SU(2)$  gauge theory with matter:

and superpotential

$$W_{tree} = \lambda Q \bar{u}_1 L$$

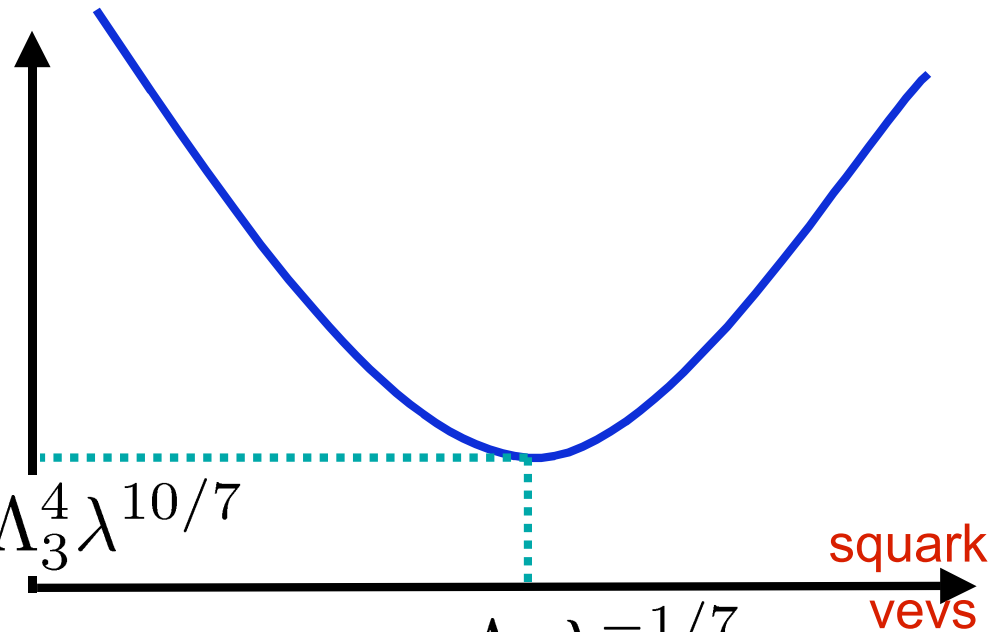
	$SU(3)$	$SU(2)$	$[U(1)_R]$
$Q$	3	2	-1
$\tilde{u}_{i=1,2}$	$\bar{3}$	1	0
$L$	1	2	3

$\lambda \ll 1$  ensures large vevs  
(weak coupling). Therefore  
the theory is calculable:

$$K_{eff} \approx K_{canonical}$$

$$V_{DSB} \sim \Lambda_3^4 \lambda^{10/7}$$

$$v \sim \Lambda_3 \lambda^{-1/7}$$

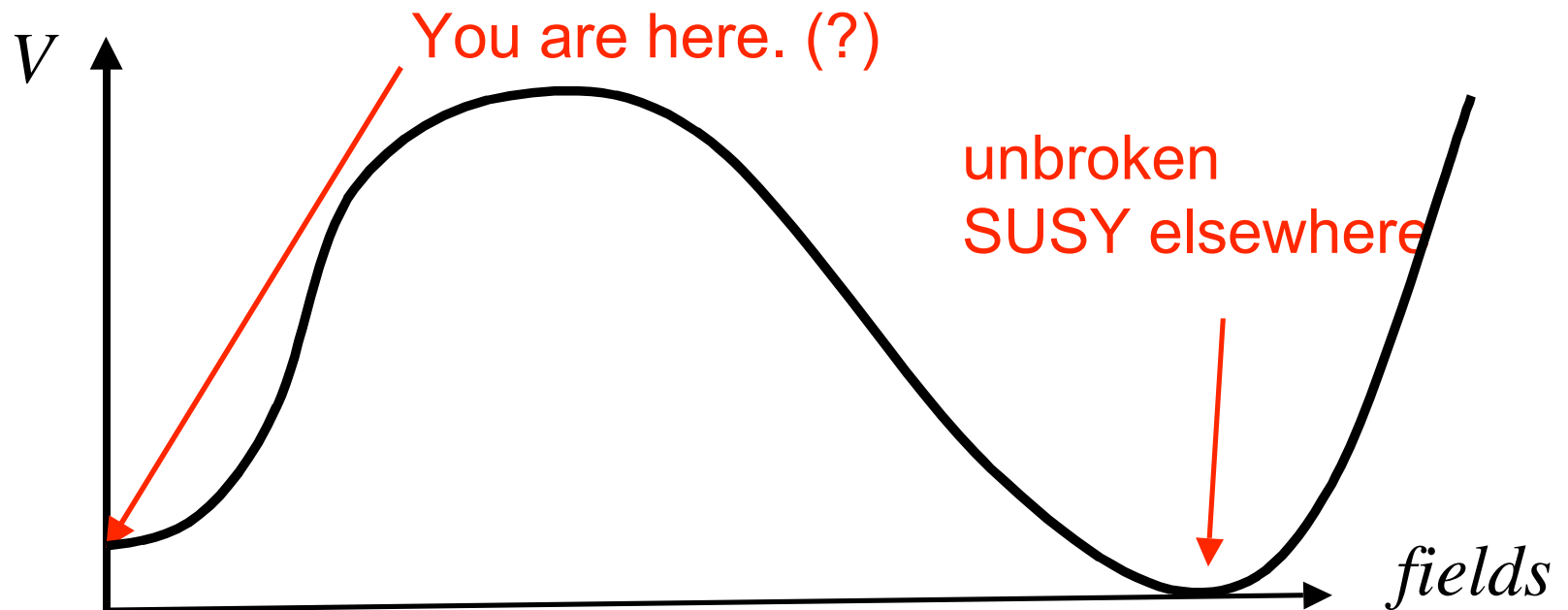


# Mediating susy breaking

Additional structure, complicates the models. Sometimes leads to unwanted vacua, with susy unbroken.

Perhaps we should try a new  
approach...

# Perhaps we live in a long-lived false vacuum



An old idea. Here, also in the SUSY breaking sector.  
Find simpler models of DSB. **E.g. good, old SQCD!**  
**Suggests meta-stable DSB is generic.**



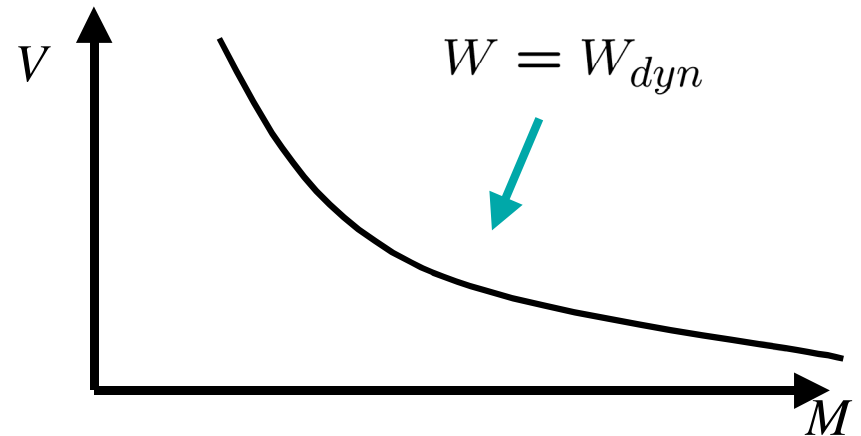
# Review of $N=1$ SQCD

	$SU(N_c)$	$[SU(N_f)$	$SU(N_f)]$	$\beta_e = 3N_c - N_f$
$Q$	$N_c$	$N_f$	$1$	Asymptotically free if $N_f < 3N_c$ (IR free if not)
$\tilde{Q}$	$\overline{N_c}$	$1$	$\overline{N_f}$	
$(M = Q\tilde{Q})$	$1$	$N_f$	$\overline{N_f}$	

With  $N_f < N_c$  **massless** flavors  
(Affleck, Dine and Seiberg)

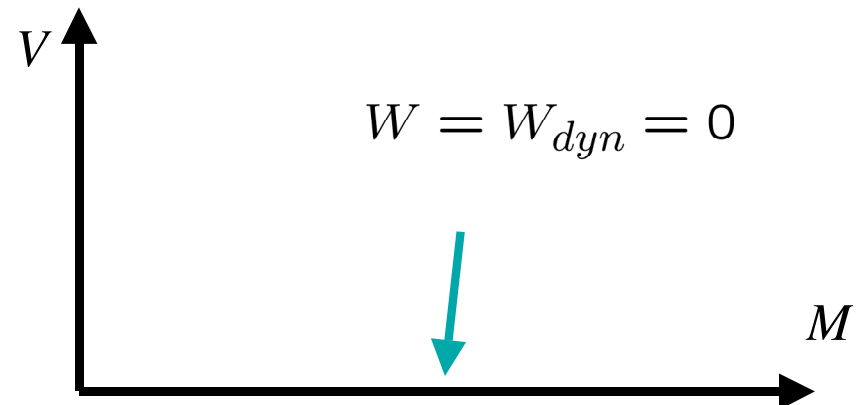
$$W_{dyn} \sim \left( \frac{\Lambda^{3N_c - N_f}}{\det M} \right)^{\frac{1}{N_c - N_f}}$$

**No static vacuum. Runaway.**



With  $N_f \geq N_c$  **massless** flavors

**Quantum moduli space of vacua.**



# $N=1$ $SU(N_c)$ SQCD with $N_f$ flavors

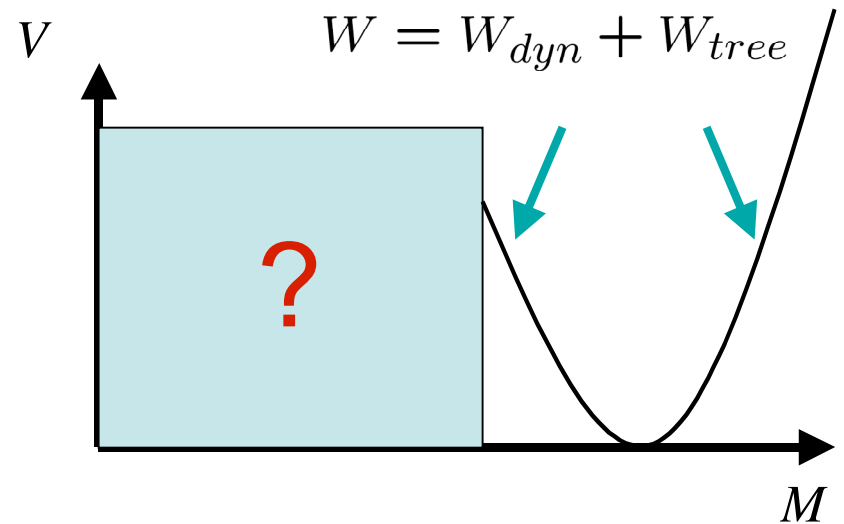
We will focus on the range of the number of **colors and flavors**  $N_c \leq N_f \leq \frac{3}{2}N_c$  **Infra-red free magnetic (Seiberg).**

When all the quarks are massive,  $W_{tree} = \text{Tr } mQ\tilde{Q} = \text{Tr } mM$   
there are  $\text{Tr}(-1)^F = N_c$  **SUSY vacua.**

For  $m = m_0 \mathbb{I}_{N_f}$

$$\langle M \rangle = (m_0^{N_f - N_c} \Lambda^{3N_c - N_f})^{1/N_c} \mathbb{I}_{N_f}$$

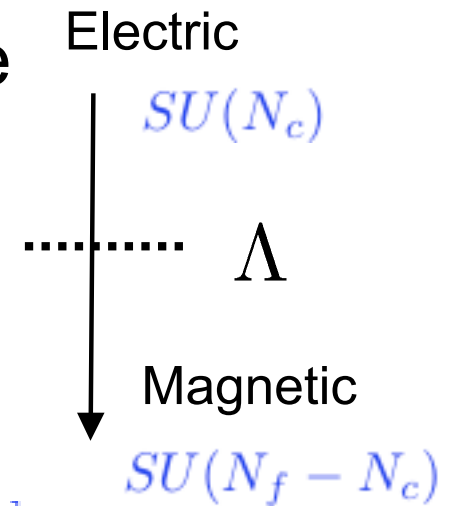
Study the limit  $m_0 \ll \Lambda$   
in the region near the origin.



There, we should use **magnetic dual variables...**

# The magnetic theory (Seiberg)

We will focus on  $N_c + 2 \leq N_f \leq \frac{3}{2}N_c$  where the theory is in a **free magnetic phase**; i.e. the magnetic theory is **IR free**.



The magnetic theory is

$$SU(N_f - N_c) \times [SU(N_f) \times SU(N_f)]$$

$q$	$\in$	$\frac{N_f - N_c}{N_f - N_c}$	$\overline{N}_f$	$1$
$\tilde{q}$	$\in$	$\frac{N_f - N_c}{N_f - N_c}$	$1$	$N_f$
$\Phi$	$\in$	$1$	$N_f$	$\overline{N}_f$

with  $W_{dual} = \tilde{q}\Phi q$

## The magnetic theory, cont.

$$W_{dual} = \tilde{q}\Phi q \quad \text{where} \quad \Lambda\Phi = M = \tilde{Q}Q$$

UV cutoff of this IR free theory is  $\Lambda$ .

The Kahler potential for the IR free fields is **smooth** near the origin and can be taken to be canonical:

$$K_{IR} = \frac{1}{\alpha} \text{Tr} \Phi^\dagger \Phi + \frac{1}{\beta} \text{Tr} (q^\dagger q + \tilde{q}^\dagger \tilde{q}) + O\left(\frac{1}{\Lambda^2}\right)$$

Evidence: highly non-trivial 't Hooft anomaly matching.

**Key point:** The leading Kahler potential is known, up to two dimensionless normalization constant factors.

# Rank condition SUSY breaking

Quark masses are described in the magnetic dual by

$$W_{tree} = \text{Tr } q\Phi\tilde{q} - \mu^2 \text{Tr } \Phi$$

**SUSY broken at tree level!**

$$\mu^2 = -m_0\Lambda$$

$$F_{\Phi_f^g}^\dagger \sim \frac{\partial W_{tree}}{\partial \Phi_f^g} = \tilde{q}_g^c q_c^f - \mu^2 \delta_g^f \neq 0$$

(rank  $N_f - N_c$ )


(rank  $N_f$ )

(using the classical rank of  $(q\tilde{q})_g^f$  .)

This SUSY breaking is a **check of the duality**. Otherwise, would have had unexpected, extra SUSY vacua.

# Elsewhere: SUSY dynamically restored

For  $\langle \Phi \rangle \neq 0$ , magnetic q's massive ( $W_{dual} = \tilde{q}\Phi q$ ) so integrate them out. Then gaugino condensation in dual

  $W_{dyn} \sim (\det \Phi)^{1/(N_f - N_c)}$

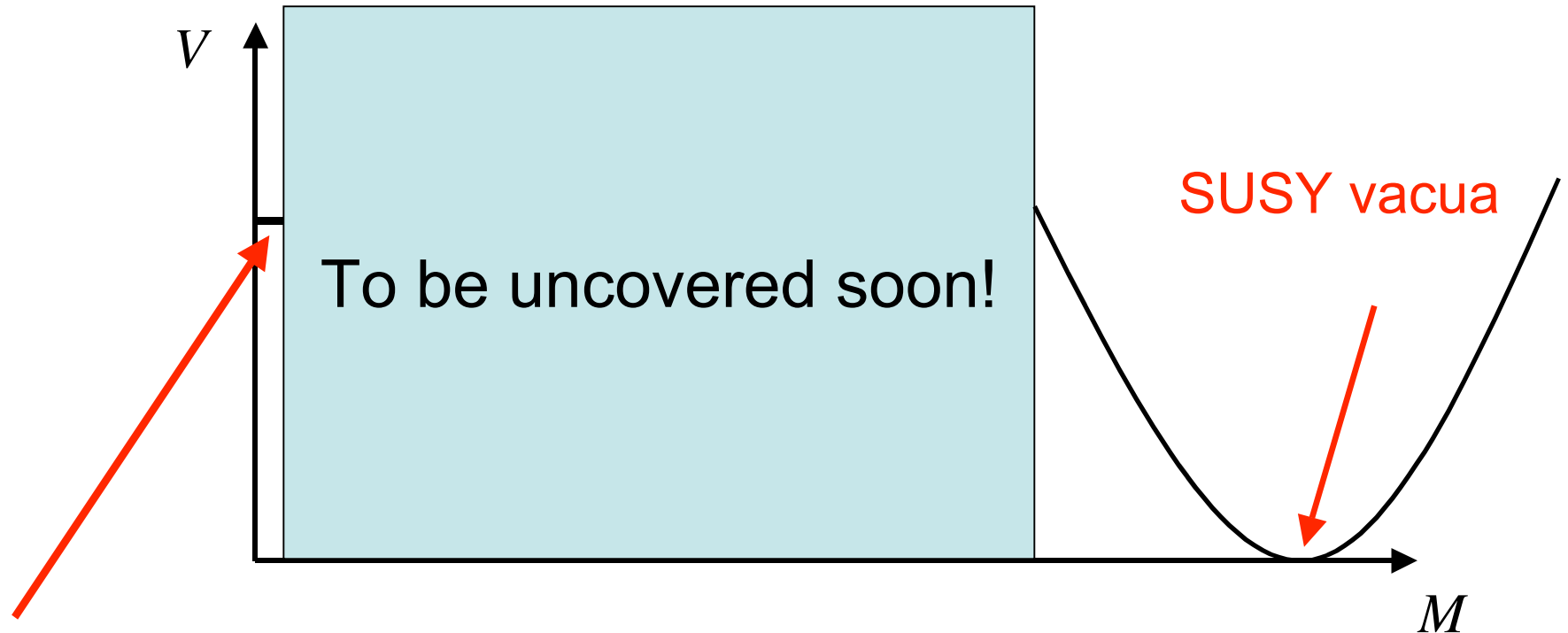
Non-perturbatively restores SUSY in the magnetic theory.

Leads to the expected  $\text{Tr}(-1)^F = N_c$  susy vacua:

$$\langle M \rangle = (m_0^{N_f - N_c} \Lambda^{3N_c - N_f})^{1/N_c} \mathbb{I}_{N_f} \quad \langle B \rangle = \langle \tilde{B} \rangle = 0$$

a check (c. 1994) of Seiberg duality.

# Summary: the potential with massive flavors



For  $M$  at the origin SUSY broken by rank condition in the magnetic description. Reliable in free magnetic range:  $N_f < \frac{3}{2}N_c$

This ends our review of things understood more than a decade ago.

# DSB vacua near the origin, via F.M. dual

$$W_{tree} = \text{Tr } q\Phi\tilde{q} - \mu^2 \text{Tr } \Phi \quad \mu^2 = -m_0\Lambda$$

Classical vacua (up to global symmetries) with broken SUSY:

$$\Phi = \begin{pmatrix} 0 & 0 \\ 0 & \Phi_0 \end{pmatrix} \quad q = \begin{pmatrix} q_0 \\ 0 \end{pmatrix} \quad \tilde{q}^T = \begin{pmatrix} \tilde{q}_0 \\ 0 \end{pmatrix} \quad \tilde{q}_0 q_0 = \mu^2 \mathbb{I}_{N_f - N_c}$$

Pseudo-moduli:

Arbitrary  $N_c \times N_c$  and  $(N_f - N_c) \times (N_f - N_c)$  matrices

$$\text{DSB: } V_{min} = N_c \alpha |\mu^4| \neq 0$$

Pseudo-flat directions are lifted in the quantum theory.

Typical of tree-level breaking, e.g. O'Raifeartaigh model (lect 2).



# Pseudo-moduli get a potential at 1-loop in the magnetic theory

Use  $W_{tree} = \text{Tr } q\Phi\tilde{q} - \mu^2 \text{Tr } \Phi$

$$K_{IR} = \frac{1}{\alpha} \text{Tr } \Phi^\dagger \Phi + \frac{1}{\beta} \text{Tr } (q^\dagger q + \tilde{q}^\dagger \tilde{q}) + O\left(\frac{1}{\Lambda^2}\right)$$

1-loop effective potential for pseudo-moduli:

$$V_{eff}^{(1)} = \frac{1}{64\pi^2} \text{Tr}(-1)^F \mathcal{M}^4 \log \frac{\mathcal{M}^2}{\Lambda^2}$$

← mass matrices are functions of the pseudo-moduli

↑  
1-loop vacuum energy

Higher loops (higher powers of small  $\alpha, \beta$ ) are smaller, because the magnetic theory is IR free.

# Effect of the one-loop potential for the pseudo-moduli

The effective potential is **minimized** (up to symmetries):

$$\Phi = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \quad q = \tilde{q}^T = \begin{pmatrix} \mu \mathbb{I}_{N_f - N_c} \\ 0 \end{pmatrix}$$

All pseudo-moduli get **non-tachyonic masses** at one-loop.

SUSY broken:  $V_{min} \approx N_c \alpha |\mu^4| = N_c \alpha |m_0^2 \Lambda^2| > 0$

Vacua (meta) **stable** (we'll discuss tunneling soon).

Vacua mysterious in electric description.  $\langle M \rangle = 0$ ,  $\langle B \rangle \neq 0$

Not semi-classical, very quantum mechanical.

# Dynamical SUSY restoration, revisited

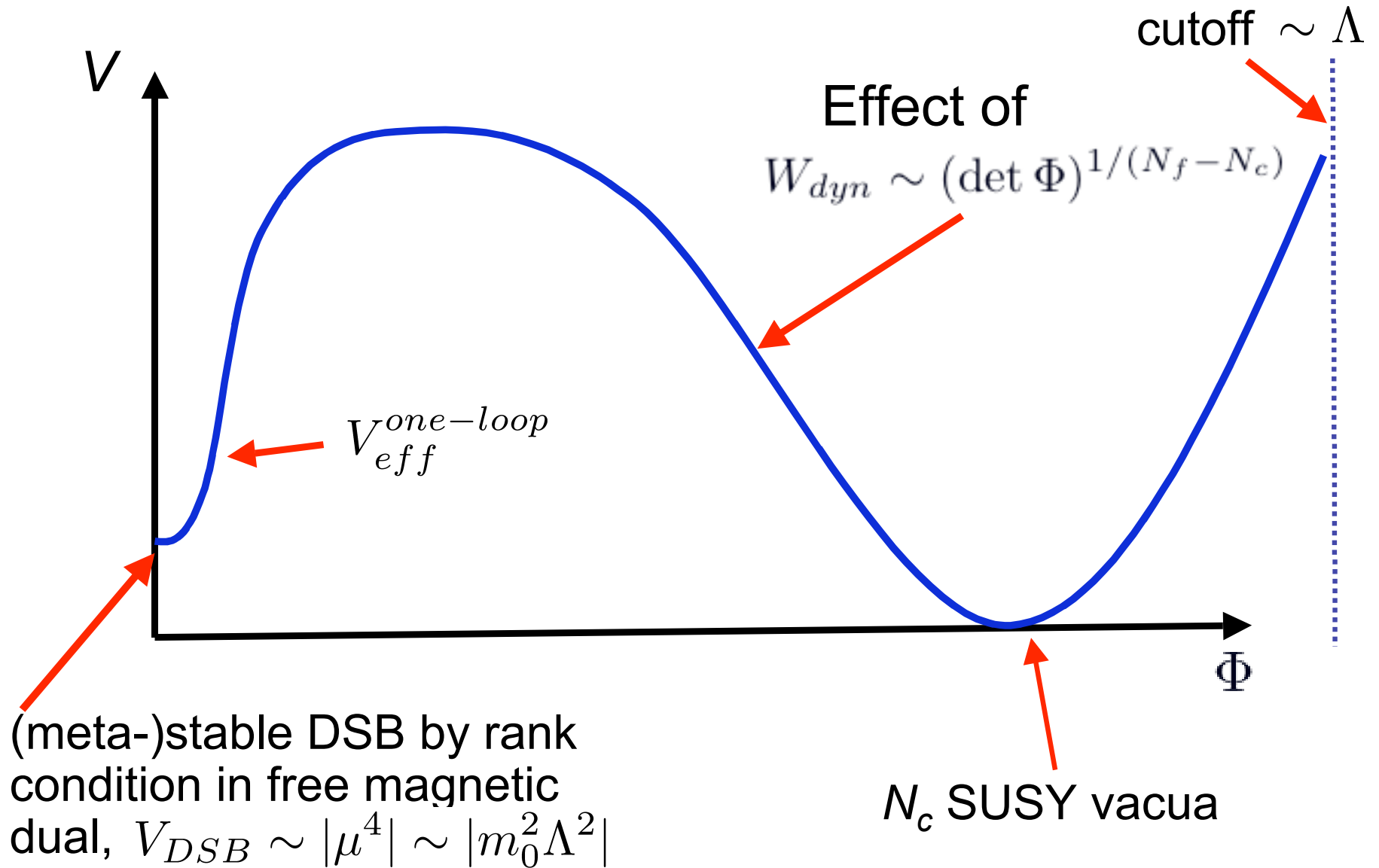
$$W_{dyn} \sim (\det \Phi)^{1/(N_f - N_c)} \sim \Phi^{N_f/(N_f - N_c)}$$

In free magnetic range,  $N_f < 3N_c/2$ , this term is  $\sim \Phi^{\#>3}$ , so it is irrelevant for the susy breaking vacua near the origin.

For  $\epsilon^2 = |\mu^2/\Lambda^2| = |m_0/\Lambda| \ll 1$ , can reliably analyze effect of this term far out on the moduli space, and find the SUSY vacua in the magnetic theory, staying below its cutoff:

$$\Phi \ll \Lambda.$$

# Sketch of the full potential



# Effects from the microscopic theory

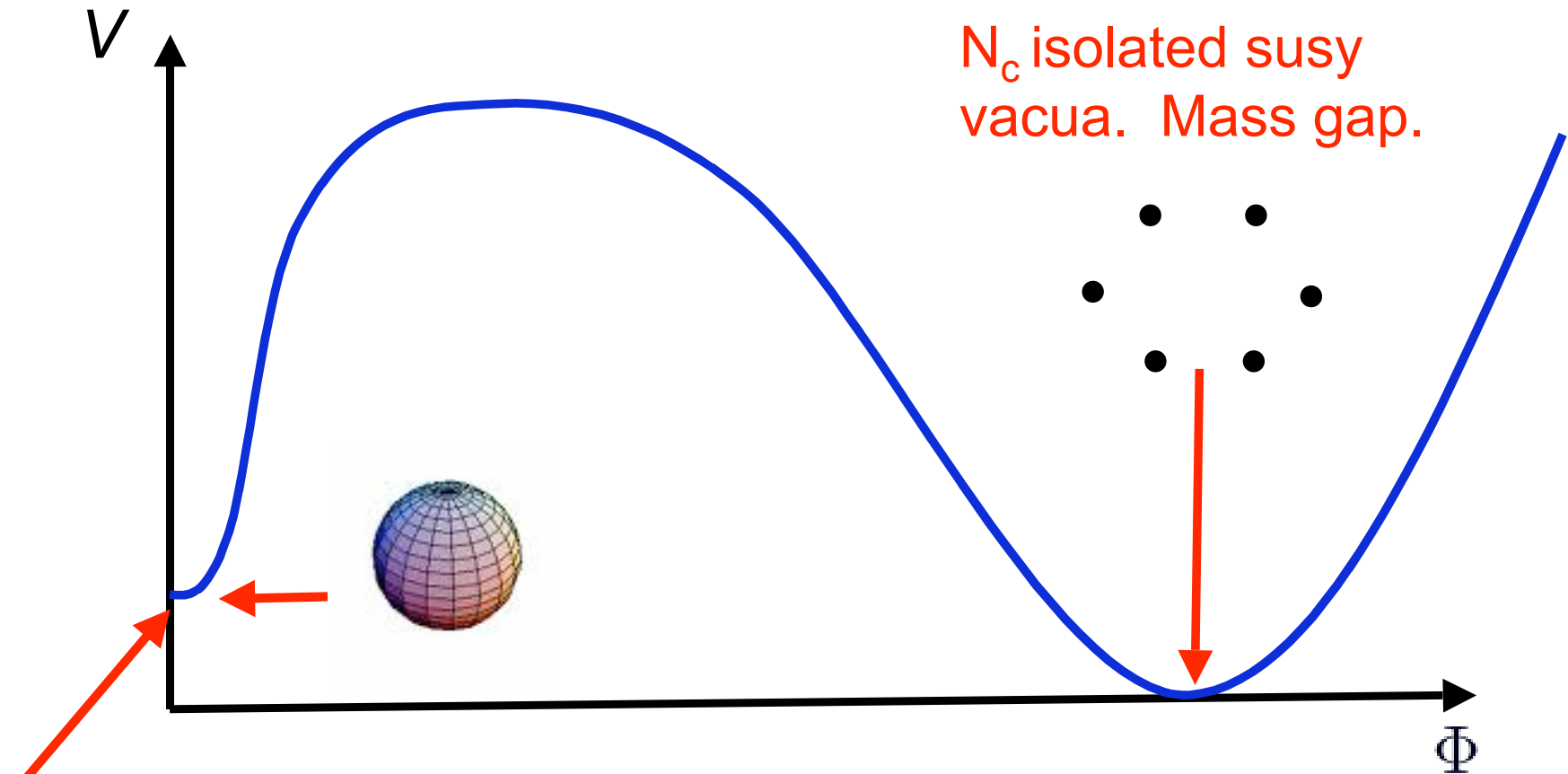
Contributions to the effective potential from modes above  $\sim \Lambda$ , e.g. from loops of **SUSY split** massive particles do not change our picture. Uncalculable. Unimportant.

All such contributions can be summarized by **corrections to the Kahler potential**. Such effects are **real analytic** in  $\mu^2 = -m_0\Lambda$ . Our 1-loop potential is **not**, because it arises from integrating out modes that are massless as  $m_0 \rightarrow 0$ . This **non-analyticity ensures that our DSB vacuum is robust**.

**Corrections from UV modes are negligible for**

$$\epsilon^2 = |m_0/\Lambda| = |\mu^2/\Lambda^2| \ll 1$$

# Spaces of DSB vs SUSY vacua



**Compact moduli space of DSB vacua.** Massless fermions and scalars: SSB of susy, and some global symmetries.

# Compact moduli space of DSB vacua



$$\mathcal{M} = \frac{U(N_f)}{S(U(N_f - N_c) \times U(N_c))} = G/H$$

$$\langle q \rangle = \begin{pmatrix} \mu \mathbb{I}_{N_f - N_c} \\ 0 \end{pmatrix} \quad \text{SSB} \quad \begin{array}{l} G = SU(N_f)_V \times U(1)_B \cong U(N_f) \\ \downarrow \\ H = S(U(N_f - N_c) \times U(N_c)) \end{array}$$

**DSB vacua:**  $\langle B \rangle \neq 0$      $\langle M \rangle = 0$     (Mysterious in electric description!)

vs **SUSY vacua:**  $\langle B \rangle = 0$      $\langle M \rangle \sim \mathbb{I}_{N_f}$      $\begin{array}{l} G \rightarrow G \\ (\mathbf{Z}_{2N_c} \rightarrow \mathbf{Z}_2) \end{array}$

Aside: SSB vs Vafa-Witten thm. OK: squarks, vacua meta-stable.

## Moduli space of DSB vacua, cont.



$$\mathcal{M} = \frac{U(N_f)}{S(U(N_f - N_c) \times U(N_c))} = G/H$$

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DSB vacua have: exactly massless **Goldstone bosons**, and **Goldstino**. Extra **massless fermions** (from pseudo-moduli). (**Electric description**: naively **no** massless fields: quarks = massive, and SYM has a mass gap. True in susy vacua.)

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$\pi_2(\mathcal{M}) = \mathbf{Z}$  : DSB vacua support **solitonic strings**, **topologically (meta) stable**.  
(v.s. domain walls of susy vacua.)



# Mass spectrum of DSB vacua

Most particles have **heavy masses**  $\sim |\Lambda|$  .

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Some magnetic particles get **tree level masses** (including the magnetic gauge fields, which are Higgsed)

$$\sim \left| \frac{g_{YM}}{\sqrt{\alpha}} \mu \right| \sim |\alpha \mu| \ll |\Lambda| \quad (K_{IR} = \frac{1}{\alpha} \text{Tr} \Phi^\dagger \Phi + \dots)$$

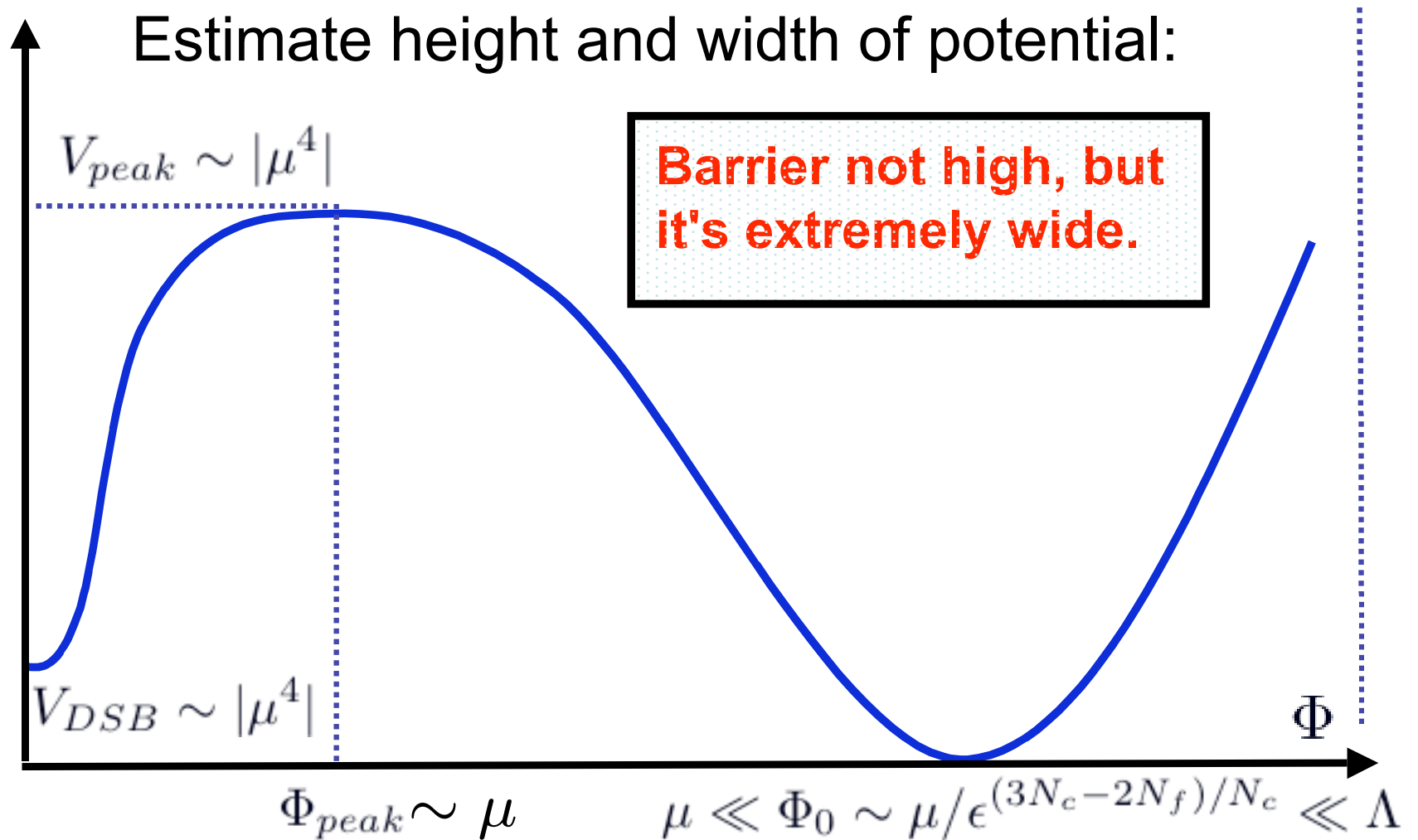
The pseudo-moduli have **smaller (one loop) masses**

$$\sim |\alpha^{5/2} \mu| < |\alpha \mu|$$

Other particles are exactly **massless** (before coupling to gravity).

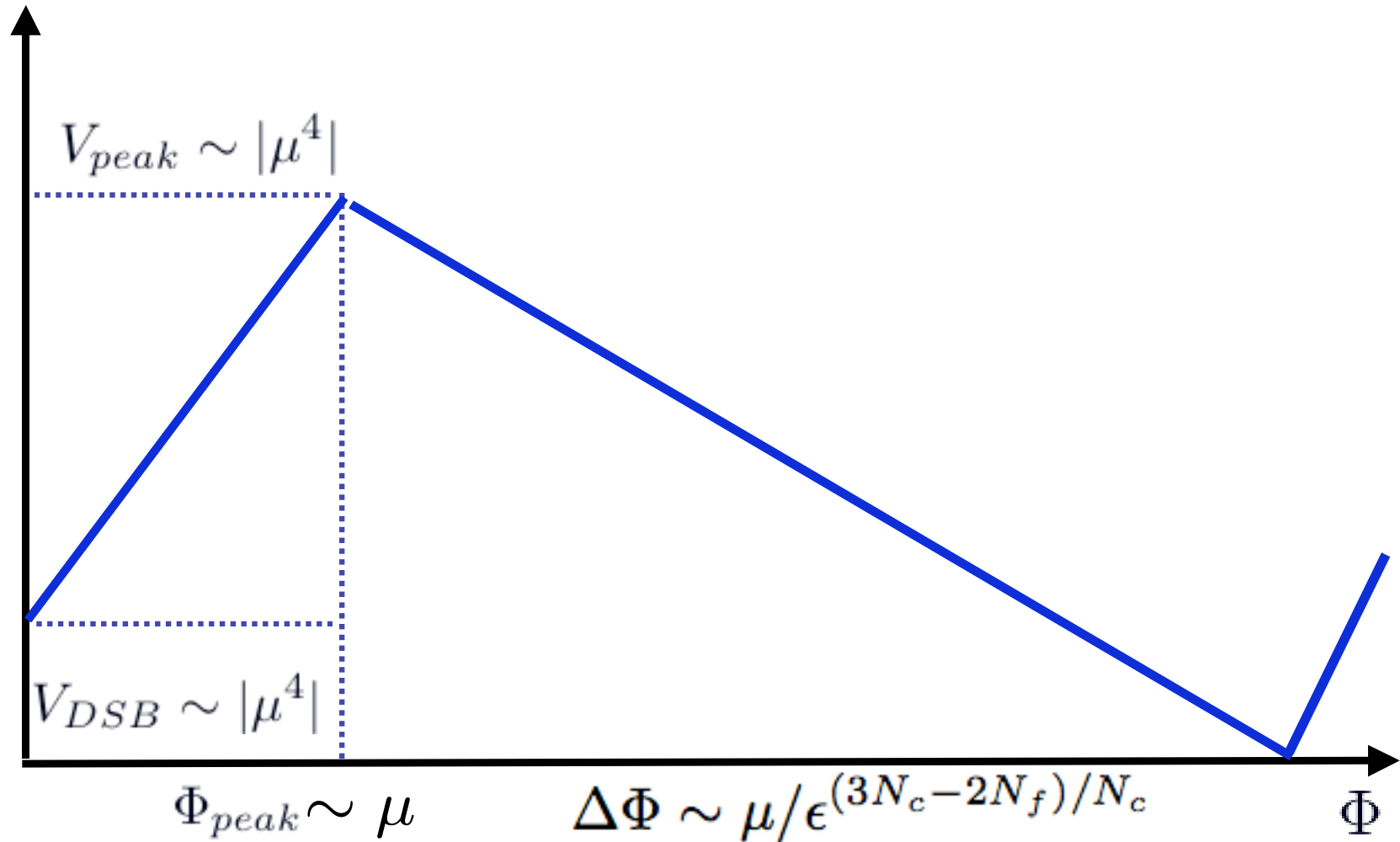
# Lifetime of meta-stable DSB vacua

Estimate height and width of potential:



Recall  $\mu^2 = -m_0\Lambda$      $\epsilon = \sqrt{|m_0/\Lambda|} = |\mu/\Lambda| \ll 1$  .

# Cartoon of the potential



**Recall**  $\mu^2 = -m_0\Lambda$       $\epsilon = \sqrt{|m_0/\Lambda|} = |\mu/\Lambda| \ll 1$  .

## Lifetime of DSB vacua, cont.

Decay probability  $\sim \exp(-S_{bounce})$  (e.g. Langer, Coleman)

Estimate classical, Euclidean action of bounce:

$$S_{bounce} \sim \frac{|\Delta\Phi|^4}{V_{DSB}} \sim \epsilon^{-4(3N_c - 2N_f)/N_c} \gg 1$$

Our meta-stable DSB vacuum is **parametrically long-lived** for  $\epsilon = \sqrt{|m_0/\Lambda|} = |\mu/\Lambda| \ll 1$ .

**Magnetic description: tunneling suppressed by large  $\Delta\Phi$ .**  
**Electric description: tunneling suppressed by small  $V_{DSB}$ .**

## Aside: $N_f$ in the conformal window

For  $N_f \geq \frac{3}{2}N_c$  the magnetic dual is **not IR free**.

Magnetic gaugino condensation superpotential

$$W_{dyn} \sim (\det \Phi)^{1/(N_f - N_c)} \sim \Phi^{N_f/(N_f - N_c)}$$

then cannot be neglected near the origin,  $\sim \Phi^{\#\leq 3}$ .

SUSY vacua are then too close to ensure the longevity of the DSB vacua; **DSB vacua then not meaningful**. Long lived meta-stable DSB vacua **only in free magnetic range of  $N_f$** .

Easily generalized to  $SO(N_c)$  and  $Sp(N_c)$   
with  $N_f$  fundamental flavors

Essentially the same results with  $SO(N_c)$  and  $Sp(N_c)$  –  
these phenomena appear to be **generic**.

SO case: promote to  $Spin(N_c)$  and introduce sources – electric  
and magnetic  $\mathbf{Z}_2 \times \mathbf{Z}_2$  order parameters; distinguish Higgs,  
confining, and oblique confining phases.

- SUSY vacua: dyon condensation, so oblique confining.
- DSB vacua: monopole condensation, so confining.

The vacua are in **different phases**.

# Prospects for Model Building

Several **longstanding** challenges:

- Naturalness.
- Direct gauge mediation. Landau poles.
- R-symmetry problem.

Let us reconsider each one, in the new context of meta-stable DSB vacua.

# Naturalness

Need small parameter:  $\epsilon = \sqrt{m_0/\Lambda} \ll 1$  . We get

$$M_S^4 \sim |m^2 \Lambda^2| \sim |m^2 M_{cutoff}^2| e^{-2c/g^2(M_{cutoff})} \ll m^2 M_{cutoff}^2$$

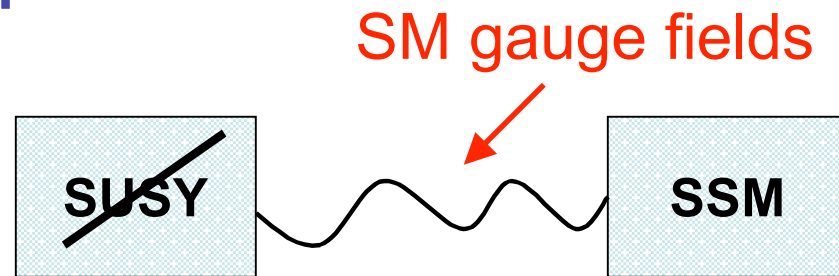
**Good.**

Perhaps better if **all** low-energy scales dynamically generated. Consider similar models, with ***m*** replaced by a **marginal or irrelevant coupling**.



# Direct gauge mediation and Landau poles.

**Direct mediation:**  
longstanding goal  
to find a nice and  
simple model.



SQCD has a large global flavor symmetry, can  
partly gauge and identify with SM or GUT groups

e.g.

$$SU(N_c) \times SU(N_f) \xrightarrow{\Lambda} SU(N_f - N_c) \times SU(N_f) \xrightarrow{\text{meta-stable DSB}} SU(N_f - N_c) \times SU(N_c)$$

Low-energy gauge fields **partly electric and partly magnetic.**  
Perhaps a scenario like this may help Landau pole problems.

# R-symmetry problem

DSB without SUSY vacua: non-generic superpotential or a  $U(1)_R$  symmetry. (Affleck, Dine, Seiberg; Nelson, Seiberg).

**but...**

For nonzero Majorana **gluino masses**,  $U(1)_R$  **should be broken**. To avoid a Goldstone boson, breaking should be explicit, which might restore SUSY. (Gravity may help.)

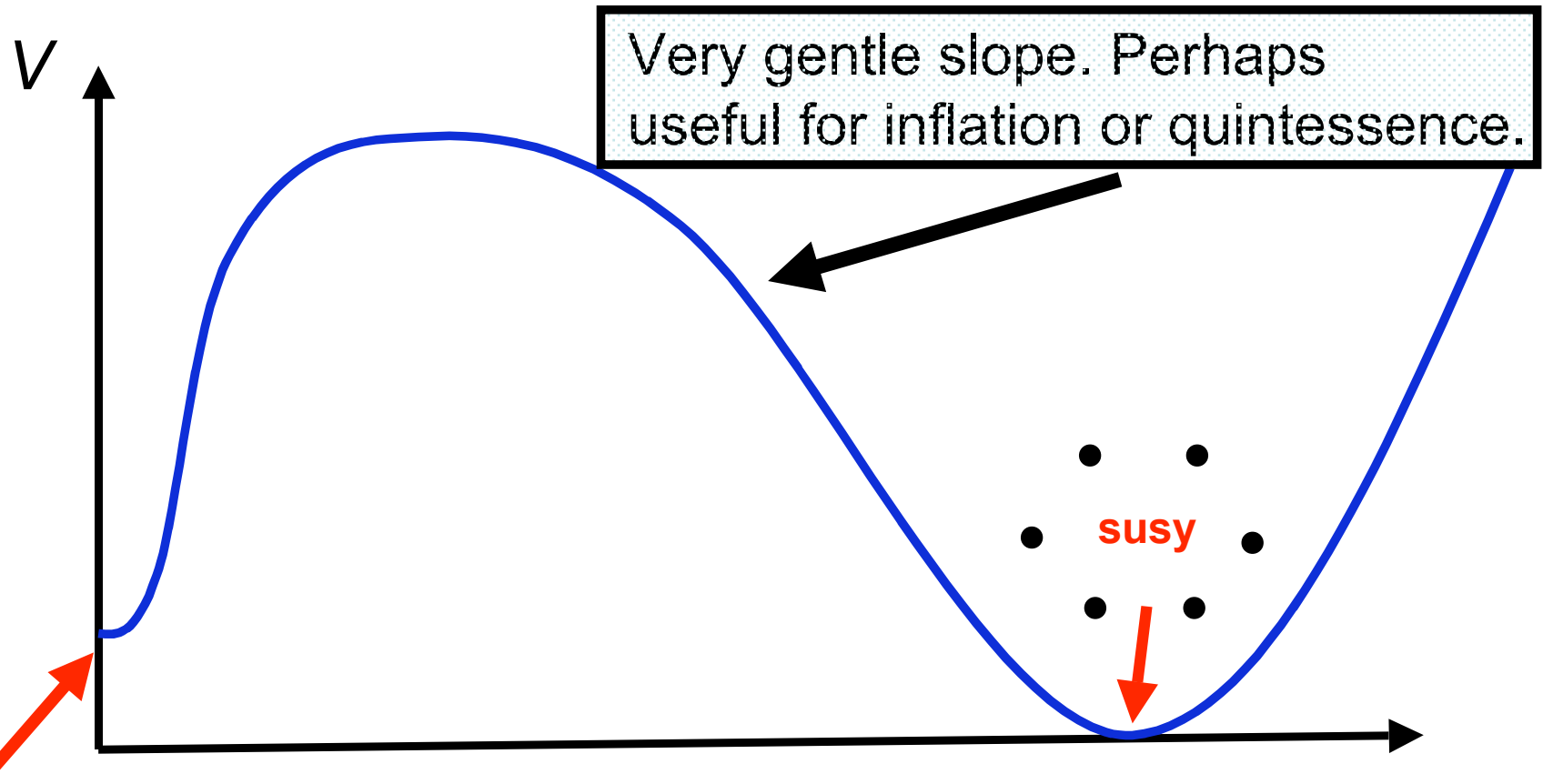
**Our examples:** **no exact**  $U(1)_R$ . (Indeed, SUSY vacua.)

Meta-stable DSB vacua have accidental approximate  $U(1)_R$ .

Perhaps better if that is also **spontaneously broken**.

(We also have an exact discrete R-symmetry, also bad for gaugino masses. It can be explicitly broken, by added interactions, without harming our DSB vacua.)

# Comments on Cosmology



← Much **larger configuration space of DSB vacua**. Perhaps favors DSB population over susy vacua. (c.f. Moduli trapping, KLLMMS)

## Conclude / Outlook

- Accepting **meta-stability** leads to surprisingly simple models of **DSB**. Suggests **meta-stable DSB is generic** in  $N = 1$  **SUSY field theory**, and the **landscape of string vacua**.
- Many similar models, including string-engineered gauge theories. **Franco & Uranga; Ooguri & Ookouchi**
- Extend to the **landscape of string vacua**. Account for MS-DSB in counting of susy vs DSB vacua?
- Relate (holographic dual) to anti-D-branes in KS geometry, of KPV, KKLT ? (note: baryonic).