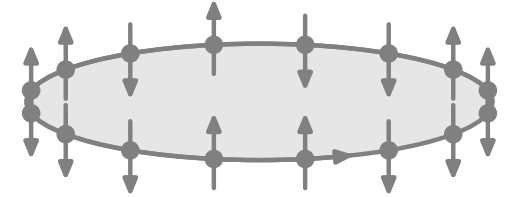
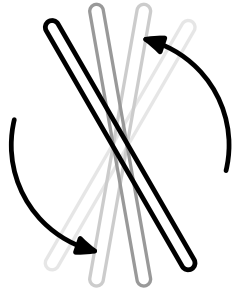


Integrability in AdS/CFT

Lecture I: Overview



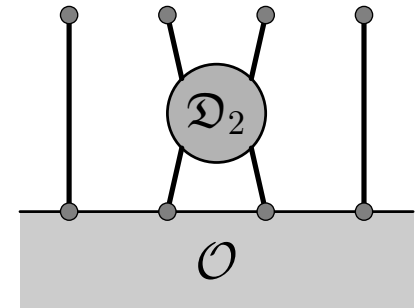
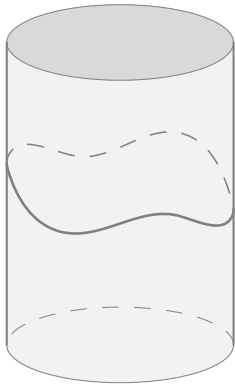
Niklas Beisert

Princeton University

PiTP

IAS Princeton

July 17, 2006



These lectures are about . . .

. . . efficient methods for the planar spectrum of AdS/CFT.

- Understanding the spectrum of classical strings on $AdS_5 \times S^5$.
- Avoiding higher-loop calculations in planar $\mathcal{N} = 4$ gauge theory.

★ **Lecture I: Introduction and Overview**

- Spectra of string/gauge theories
- Computing energies by brute force
- Overview of integrable methods

★ **Lecture II: Classical String Theory and Spectral Curves**

- From a classical solution to a spectral curve

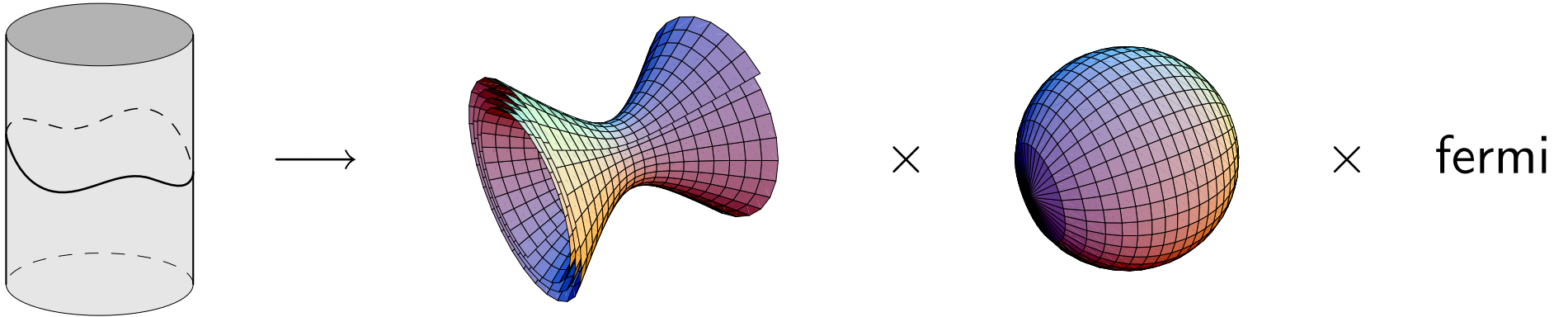
★ **Lectures III & IV: Gauge Theory and the Bethe ansatz**

- Integrable spin chains
- Bethe ansatz; S-matrix
- R-matrix formalism

AdS/CFT Correspondence

Strings on $AdS_5 \times S^5$

IIB superstrings on the curved $AdS_5 \times S^5$ superspace



Coset space

$$AdS_5 \times S^5 \times \text{fermi} = \frac{\text{PSU}(2, 2|4)}{\text{Sp}(1, 1) \times \text{Sp}(2)} .$$

Sigma model action on a cylinder (Green-Schwarz superstring)

[Metsaev
Tseytlin]

$$S_\sigma = \frac{\sqrt{\lambda}}{2\pi} \int d\tau d\sigma \sqrt{-\gamma} \left(\frac{1}{2}(\partial_a \vec{X})^2 - \frac{1}{2}(\partial_a \vec{Y})^2 \right) + \dots$$

$\mathcal{N} = 4$ Gauge Theory

$U(N)$ gauge field A_μ , 4 adjoint fermions Ψ_α^a , 6 adjoint scalars Φ_m

$$S_{\mathcal{N}=4} = N \int \frac{d^4x}{4\pi^2} \text{Tr} \left(\frac{1}{4} (\mathcal{F}_{\mu\nu})^2 + \frac{1}{2} (\mathcal{D}_\mu \Phi_m)^2 - \frac{1}{4} [\Phi_m, \Phi_n]^2 + \dots \right).$$

Some remarkable properties:

- Unique action due to maximal supersymmetry,
- single coupling constant $g \sim \sqrt{\lambda} \sim g_{\text{YM}} \sqrt{N}$ (plus top. θ -angle),
- all fields adjoints: $N \times N$ matrices for $U(N)$ gauge group,
- all fields massless (pure gauge),
- “finite” theory: beta-function exactly zero, no running coupling,
- unbroken conformal symmetry,
- superconformal symmetry $\text{PSU}(2, 2|4)$.

And some more mysterious ones...

AdS/CFT Correspondence

Conjectured **exact duality** of

[Maldacena
hep-th/9711200] [Gubser
Klebanov
Polyakov] [Witten
hep-th/9802150]

- IIB string theory on $AdS_5 \times S^5$ and
- $\mathcal{N} = 4$ gauge theory (CFT).

Symmetry groups match: $PSU(2, 2|4)$.

Holography: Boundary of AdS_5 is conformal \mathbb{R}^4 .

Prospects:

- More general AdS/CFT may explain aspects of QCD strings.
- Study aspects of quantum gravity with QFT means.

No proof yet!

Many qualitative comparisons. Quantitative tests missing.

Would like to verify quantitatively.

One prediction: Matching of spectra.

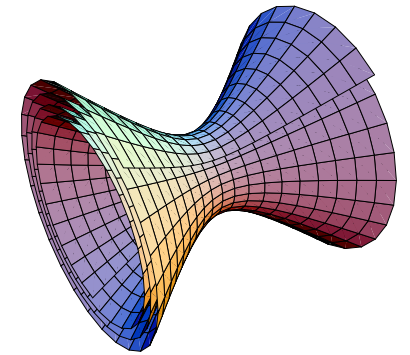
Central motivation for these lectures. Goal: **Obtain spectra** on both sides.

Spectra of String and Gauge Theory

String Theory:

States: Solutions of classical equations of motion plus quantum corrections.

Energy: Charge for translation along AdS-time (rotations along unwound circle in figure)



Gauge Theory:

States: Local operators. Local combinations of the fields, e.g.

$$\mathcal{O} = \text{Tr} \Phi_1 \Phi_2 (\mathcal{D}_1 \mathcal{D}_2 \Phi_2) (\mathcal{D}_1 \mathcal{F}_{24}) + \dots$$

Energy: Scaling dimensions, e.g. two-point function in conformal theory

$$\langle \mathcal{O}(x) \mathcal{O}(y) \rangle = C |x - y|^{-2D(\lambda)}$$

Matching: String energies and gauge dimensions match, $E(\lambda) = D(\lambda)$.

Strong/Weak Duality

Problem: Strong/weak duality.

- Perturbative regime of strings at $\lambda \rightarrow \infty$

$$E(\lambda) = \sqrt{\lambda} E_0 + E_1 + E_2/\sqrt{\lambda} + \dots$$

E_ℓ : Contribution at ℓ (world-sheet) loops. Limit: 1 or 2 loops.

- Perturbative regime of gauge theory at $\lambda \approx 0$.

$$D(\lambda) = D_0 + \lambda D_1 + \lambda^2 D_2 + \dots$$

D_ℓ : Contribution at ℓ (gauge) loops. Limit: 3 or 4 loops.

Tests impossible unless quantities are known at **finite λ** .

Cannot compare, not even approximately.

Integrability may help.

Spinning Strings

Classical Spinning Strings

Strings in a flat background are simple...

Mode decomposition leads to free oscillators. Easily quantised.

Curved background: $AdS_5 \times S^5$ embedded in $\mathbb{R}^{2,4} \times \mathbb{R}^6$ as $\vec{Y}^2 = \vec{X}^2 = 1$.

String sigma model action

[Metsaev
Tseytlin]

$$S_\sigma = \frac{\sqrt{\lambda}}{2\pi} \int d\tau d\sigma \sqrt{-\gamma} \left(\frac{1}{2}(\partial_a \vec{X})^2 - \frac{1}{2}(\partial_a \vec{Y})^2 + \text{fermi.} \right).$$

Equations of motion, Virasoro constraints (variation by $\vec{X}, \vec{Y}, \gamma_{ab}$)

$$\partial^2 \vec{X} = \Lambda \vec{X}, \quad \partial^2 \vec{Y} = \Lambda' \vec{Y}, \quad (\partial_\pm \vec{X})^2 = (\partial_\pm \vec{Y})^2.$$

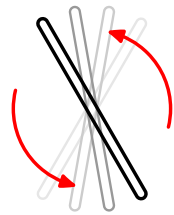
Let's find a classical string solution.

For classical solutions may drop fermions.

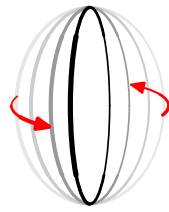
Spinning Strings Ansatz

Many examples investigated:

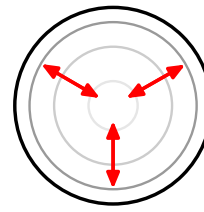
[Gubser, Klebanov, Polyakov] [Frolov, Tseytlin] [Minahan, hep-th/0209047] [Frolov, Tseytlin] . . .



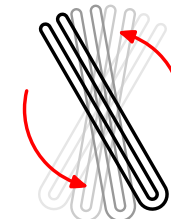
folded



circular



pulsating



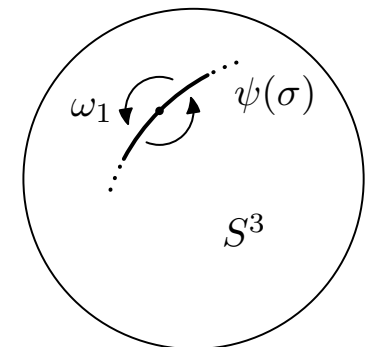
higher modes

A particular ansatz for a spinning strings on $\mathbb{R} \times S^3$:

[Frolov, Tseytlin, hep-th/0306143]

- uniform motion in AdS-time: WS energy ε
- uniform rotation in 12-plane and 34-plane: WS frequencies $\omega_{1,2}$
- stretched in 12/34 plane: profile $\psi(\sigma)$.

$$t(\sigma, \tau) = \varepsilon \tau, \quad \vec{X}(\sigma, \tau) = \begin{pmatrix} \cos \psi(\sigma) \cos \omega_1 \tau \\ \cos \psi(\sigma) \sin \omega_1 \tau \\ \sin \psi(\sigma) \cos \omega_2 \tau \\ \sin \psi(\sigma) \sin \omega_2 \tau \end{pmatrix}.$$



Solving a Spinning String

Equation of motion (pendulum with $\vartheta = 2\psi$, $g/L = \omega_1^2 - \omega_2^2$)

$$\psi'' = (\omega_1^2 - \omega_2^2) \cos \psi \sin \psi.$$

Virasoro constraint (integrated EOM)

$$\psi'^2 = \varepsilon^2 - \omega_1^2 + (\omega_1^2 - \omega_2^2) \sin^2 \psi.$$

Solution (elliptic amplitude am , modulus k , integration constant σ_0)

$$\psi(\sigma) = \text{am}(b(\sigma - \sigma_0), k).$$

Relation between (ω_1, ω_2) and (b, k)

$$\varepsilon^2 - \omega_1^2 = b^2, \quad \omega_2^2 - \omega_1^2 = b^2 k^2.$$

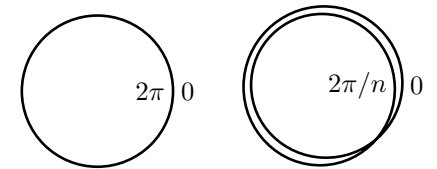
Periodicity

Closed string must be periodic: $\psi(\sigma + 2\pi) \equiv \psi(\sigma) \pmod{2\pi}$.

Understand elliptic functions or reconsider Virasoro

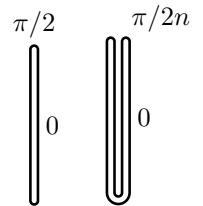
$$\frac{d\psi}{d\sigma} = b\sqrt{1 - k^2 \sin^2 \psi}.$$

Circular string, $k < 1$, n windings



$$2\pi = \int_0^{2\pi} d\sigma = n \int_0^{2\pi} d\psi \frac{d\sigma}{d\psi} = \frac{4n}{b} \int_0^{\pi/2} \frac{d\psi}{\sqrt{1 - k^2 \sin^2 \psi}} = \frac{4n}{b} K(k).$$

Folded string, $k > 1$, n folds (folded at $\pm\psi_0$ with $\sin \psi_0 = 1/k$)



$$2\pi = \int_0^{2\pi} d\sigma = 4n \int_0^{\psi_0} d\psi \frac{d\sigma}{d\psi} = \frac{4n}{b} (K(k) + i K(\sqrt{1 - k^2})).$$

New integer parameter: mode number n . Parameter b fixed.

Charges

AdS-Energy

$$E = \frac{\sqrt{\lambda}}{2\pi} \int_0^{2\pi} d\sigma \dot{t} = \sqrt{\lambda} \varepsilon$$

Charge for rotation in 12-plane (circular string)

$$\begin{aligned} J_1 &= \frac{\sqrt{\lambda}}{2\pi} \int_0^{2\pi} d\sigma (\dot{X}_2 X_1 - \dot{X}_1 X_2) = \frac{\sqrt{\lambda}}{2\pi} \int_0^{2\pi} d\sigma \omega_1 \cos^2 \psi \\ &= \frac{\sqrt{\lambda} \omega_1}{K(k)} \int_0^{\pi/2} \frac{d\psi \cos^2 \psi}{\sqrt{1 - k^2 \sin^2 \psi}} = \sqrt{\lambda} \omega_1 \frac{E(k) - (1 - k^2) K(k)}{k^2 K(k)}. \end{aligned}$$

Similar for rotation in 34-plane. Invert relations

$$\varepsilon = \frac{E}{\sqrt{\lambda}}, \quad \omega_1 = \frac{J_1}{\sqrt{\lambda}} \frac{k^2 K(k)}{E(k) - (1 - k^2) K(k)}, \quad \omega_2 = \frac{J_2}{\sqrt{\lambda}} \frac{k^2 K(k)}{K(k) - E(k)}.$$

Large Spin Limit

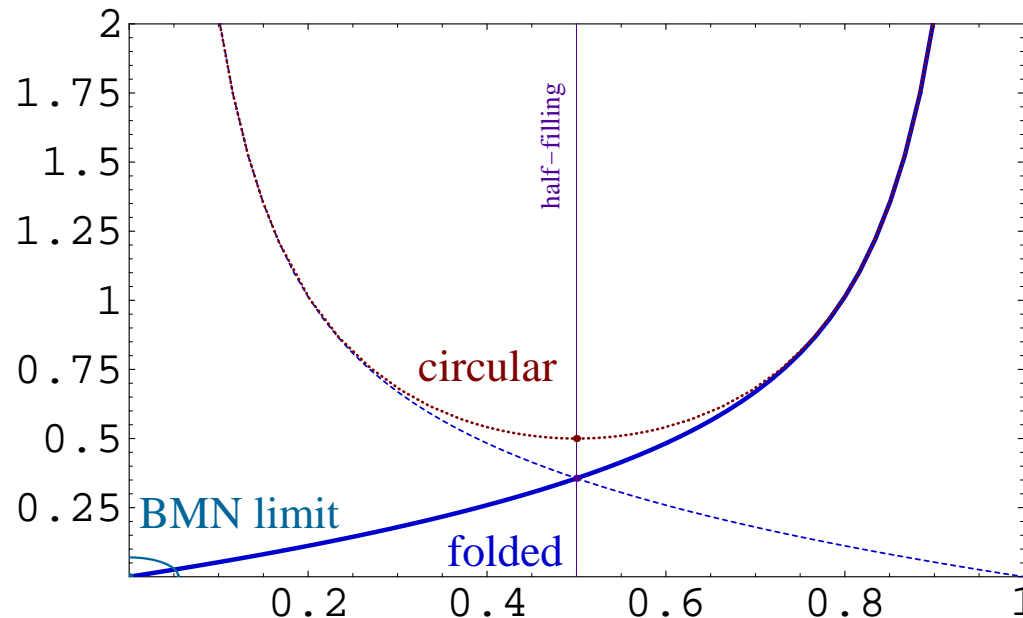
Use relation between (ω_1, ω_2) and (b, k) to solve for $E(J_1, J_2)$.

Expansion (total spin $J = J_1 + J_2$, spin ratio $\alpha = J_2/J$).

$$E = J \left(1 + \frac{\lambda}{J^2} E_1(\alpha) + \frac{\lambda^2}{J^4} E_2(\alpha) + \dots \right)$$

Comparison to perturbative gauge theory?! (**No**: λ is large!)

[Frolov, Tseytlin
hep-th/0306143]



Plot of
 $E_1(\alpha)$ vs. α

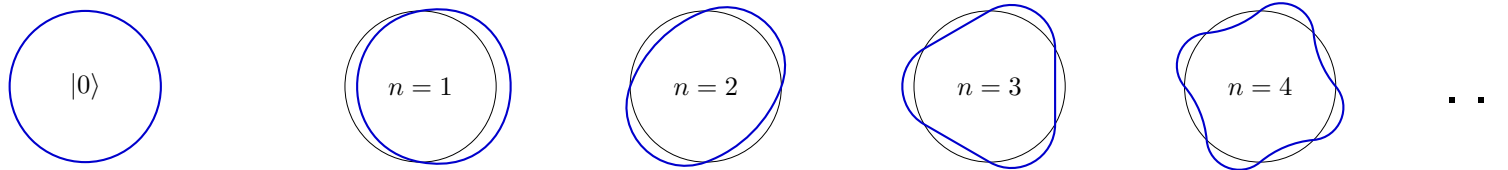
Quantum Corrections

Fluctuations

[Frolov, Tseytlin
hep-th/0306130]

Classical solution is saddle point of action. Quantum states accumulate.

Find modes by diagonalising second variation of action: $S_n = \delta^2 S / (\delta \vec{X})^2$.



Energies of string modes similar to $e_n \approx \sqrt{1 + (\lambda/J^2)n^2}$.

[Berenstein
Maldacena
Nastase]

Energy Shifts

[Frolov, Tseytlin
hep-th/0306130] [Frolov
Park
Tseytlin] [NB, Tseytlin
hep-th/0509084]

Generically $E = J E^{(0)}(\sqrt{\lambda}/J) + E^{(1)}(\sqrt{\lambda}/J) + \frac{1}{J} E^{(2)}(\sqrt{\lambda}/J) + \dots$

One-loop energy shift $E^{(1)}$: Sum over string modes

$$E^{(1)} = \frac{1}{2} \sum_n e_n^b - \frac{1}{2} \sum_n e_n^f$$

Open Questions

Obtained perturbative energy for one state, but:

- hard to find suitable ansätze (trial and error),
- hard to solve more general ansätze.
- no two-loop results available.

More generic questions:

- What is the structure of the spectrum?
- Why elliptic functions am , K , E ?

Situation improved by **integrability**:

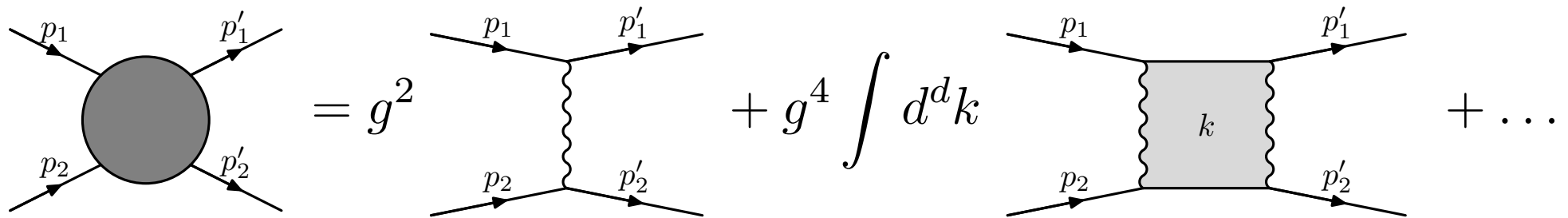
- Generic solution in terms of **spectral curves**.
- Ansätze based on physical properties.
- Understand structure of the spectrum.

Details in **Lecture II**.

Anomalous Dimensions

Momentum Space

Usually: Scatter objects with definite momenta



- Integration over loop momenta.
- Field propagator

$$\Delta_m(p) = \frac{1}{p^2 + m^2}.$$

- Order in perturbation theory $g^{2\ell+E-2C}$: Loops are suppressed.
- **Gauge theory:** External legs on-shell and spins transverse.

Position Space

Dual perspective: Scatter objects with definite positions

$$\begin{aligned}
 & \text{Diagram with four external lines } x_1, x_3, x, x_4 \text{ meeting at a central grey circle} \\
 & = g^2 \int d^{2d}y \text{ [Diagram with two internal vertices } y_1, y_2 \text{ and wavy propagator]} \\
 & + g^4 \int d^{4d}y \text{ [Diagram with four internal vertices } y_1, y_3, y_2, y_4 \text{ and wavy propagators]} + \dots
 \end{aligned}$$

- Integration over vertex positions.
- Massive/massless propagator (useful for **massless theory, CFT**)

$$\Delta_m(x, y) = \frac{K_{d/2-1}(m|x-y|)}{2\pi(2\pi|x-y|/m)^{d/2-1}}, \quad \Delta(x, y) = \frac{\Gamma(d/2-1)}{4\pi^{d/2}|x-y|^{d-2}}.$$

- Order in perturbation theory $g^V = g^{2\ell+E-2C}$: Vertices are suppressed. One loop is equivalent to two vertices. One “loop” means $\mathcal{O}(g^2)$!
- **Gauge theory**: Correlators of gauge invariant local operators $\mathcal{O}(x)$

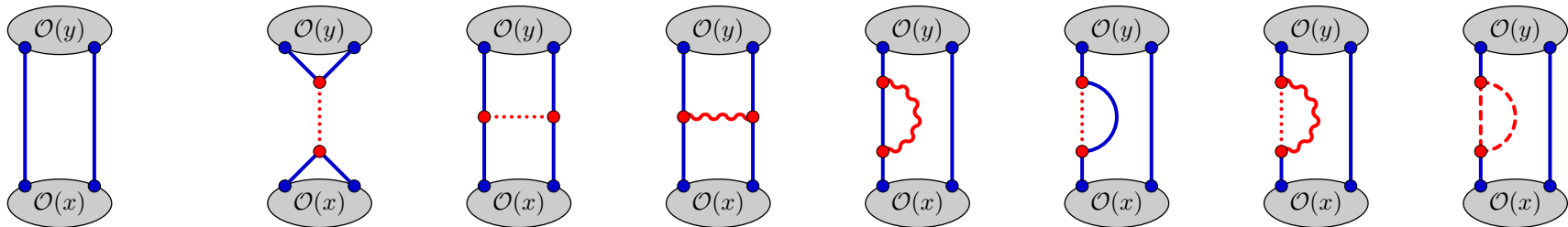
$$F(x_1, x_2, x_3, \dots) = \langle \mathcal{O}_1(x_1) \mathcal{O}_2(x_2) \mathcal{O}_3(x_3) \dots \rangle.$$

A Sample Operator

Local, gauge invariant combination of the fields, e.g.

$$\mathcal{O}_{kl}^{\text{bare}}(x) = \text{Tr } \Phi_k(x) \Phi_l(x).$$

Two-point function at one loop. Diagrams:



Correlator (in dimensional reduction scheme)

[Bianchi, Kovacs
Rossi, Stanev]

$$\langle \mathcal{O}_{kl}^{\text{bare}}(x) \mathcal{O}_{mn}^{\text{bare}}(y) \rangle = \frac{2(1 - 1/N^2)}{|x - y|^{4-4\epsilon}} \left(\delta_{k\{m\delta_{n\}l} - \frac{6g^2 \delta_{kl}\delta_{mn}}{\epsilon|x - y|^{-2\epsilon}} + \dots \right).$$

Diverges as regulator $\epsilon \rightarrow 0$. Renormalisation!

Renormalisation and Mixing

Correlator

$$\langle \mathcal{O}_{kl}^{\text{bare}}(x) \mathcal{O}_{mn}^{\text{bare}}(y) \rangle = \frac{2(1 - 1/N^2)}{|x - y|^{4-4\epsilon}} \left(\delta_{k\{m\delta_n\}l} - \frac{6g^2 \delta_{kl}\delta_{mn}}{\epsilon|x - y|^{-2\epsilon}} + \dots \right).$$

Renormalisation: coefficients divergent & unphysical

$$\mathcal{O}_{kl} = \mathcal{O}_{kl}^{\text{bare}} + \frac{g^2}{2\epsilon} \delta_{kl}\delta_{mn} \mathcal{O}_{mn}^{\text{bare}} + \dots$$

Mixing: Correlator is non-diagonal $\langle \mathcal{O}_{11}(x) \mathcal{O}_{22}(y) \rangle \neq 0$

$$\mathcal{Q}_{kl} = \mathcal{O}_{kl} - \frac{1}{6} \delta_{kl}\delta_{mn} \mathcal{O}_{mn}, \quad \mathcal{K} = \delta_{mn} \mathcal{O}_{mn}.$$

Here: Mixing resolved by representation of $\mathfrak{so}(6)$; 20-plet \mathcal{Q}_{kl} , singlet \mathcal{K} .

Usually: Mixing among many states with equal quantum numbers.

Anomalous Dimensions

Protected operator. Scaling dimension $D = 2$ is exact (CFT)

[D'Hoker
Freedman
Skiba]

$$\langle \mathcal{Q}_{kl}(x) \mathcal{Q}_{mn}(y) \rangle = \frac{2(1 - 1/N^2)}{|x - y|^4}, \quad D = 2.$$

Generic non-protected operator

$$\begin{aligned} \langle \mathcal{K}(x) \mathcal{K}(y) \rangle &= \frac{12(1 - 1/N^2)}{|x - y|^4} \left(1 + 6g^2 \frac{1 - |x - y|^{2\epsilon}}{\epsilon} + \dots \right) \\ &= \frac{12(1 - 1/N^2)}{|x - y|^4} \left(1 + 6g^2 \log \frac{1}{|x - y|^2} + \dots \right) \\ &= \frac{12(1 - 1/N^2)}{|x - y|^{2(2 + 6g^2 + \dots)}} \end{aligned}$$

Scaling dimension $D = 2 + 6g^2 + \dots$ receives quantum correction.

[Anselmi
Grisaru
Johansen]

Fields and Charges

We need large charge J of $\mathfrak{so}(6)$ (on S^5) to compare to strings.

Charges of the fields:

field	D_0	$\mathfrak{so}(3, 1)$	$\mathfrak{so}(6)$
Φ	1	0	1
Ψ	$\frac{3}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
\mathcal{D}	1	1	0

We should consider local operators consisting of many fields. [Berenstein
Maldacena
Nastase] [Frolov
Tseytlin]

Huge combinatorial problem to

- enumerate all operators which mix,
- evaluate Feynman diagrams (even at tree level),
- resolve mixing.

Some simplification from planar limit.

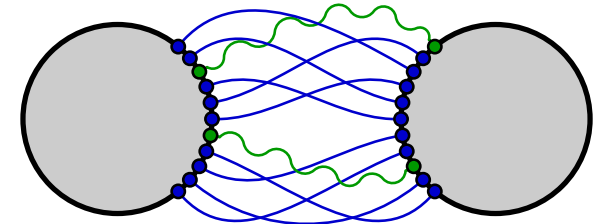
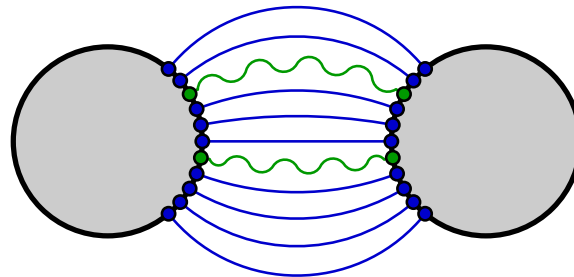
Loop Expansion, Genus Expansion

't Hooft large- N limit, genus expansion $1/N^{2h}$ for genus h diagram.
 Feynman diagrams expanded in g and $1/N$:

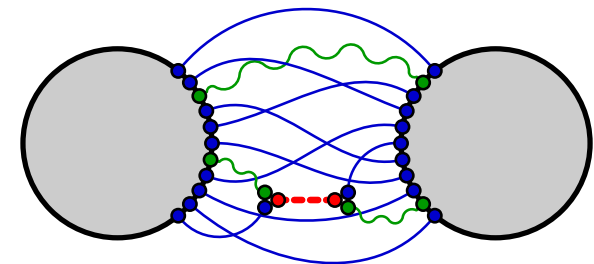
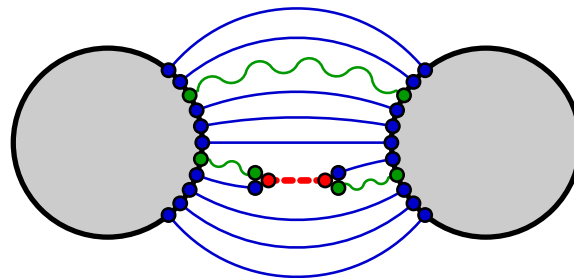
planar $\mathcal{O}(1/N^0)$
 no crossing lines

non-planar $\mathcal{O}(1/N^{2h})$
 $1/N^2$ per crossing

tree level $\mathcal{O}(g^0)$
 no vertices



higher loop $\mathcal{O}(g^{2\ell})$
 g per 3-vertex
 g^2 per 4-vertex



Consider only **planar** $\mathcal{O}(1/N^0)$ graphs at **arbitrary loop order** $\mathcal{O}(g^{2\ell})$.

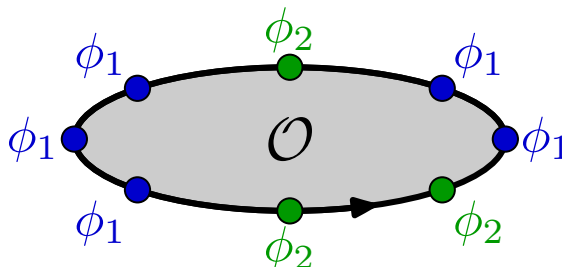
Spin Chains

Single-trace operator, two complex scalars ϕ_1, ϕ_2 ($\mathfrak{su}(2)$ sector)

[Minahan
Zarembo]

$$\mathcal{O} = \text{Tr } \phi_1 \phi_1 \phi_2 \phi_1 \phi_1 \phi_1 \phi_2 \phi_2$$

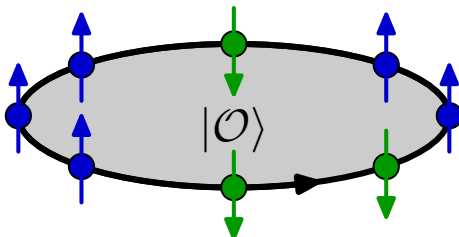
Length L : # of fields



Identify $\phi_1 = |\uparrow\rangle$, $\phi_2 = |\downarrow\rangle$

$$|\mathcal{O}\rangle = |\uparrow \uparrow \downarrow \uparrow \uparrow \uparrow \downarrow \downarrow\rangle$$

Length L : # of sites



Mixing of states $|\mathcal{O}\rangle = \psi_1 |\mathcal{O}_1\rangle + \psi_2 |\mathcal{O}_2\rangle + \dots$ with equal n_\uparrow, n_\downarrow .

Multi-trace operators: $\mathcal{O} = \mathcal{O}_1 \mathcal{O}_2 \dots$ [not important in planar limit].

Dilatation Generator

Scaling dimensions $D_{\mathcal{O}}(g)$ as eigenvalues of the dilatation generator $\mathcal{D}(g)$

$$\mathcal{D}(g) \mathcal{O} = D_{\mathcal{O}}(g) \mathcal{O}.$$

Spin chain picture: Hamiltonian $\delta\mathcal{D} = g^2\mathcal{H}$ & energies $\delta D = g^2 E$.

At **leading order** (one loop): Interactions of **nearest-neighbours**

$$\mathcal{H}_0 = \mathcal{D}_2 = \text{[Diagram 1]} + \text{[Diagram 2]} + \text{[Diagram 3]} .$$

Regularised action of $\delta\mathcal{D}$ in $\mathfrak{su}(2)$ sector: **Heisenberg XXX_{1/2} chain** [Minahan Zarembo]

$$\mathcal{H} = \sum_{p=1}^L (\mathcal{I}_{p,p+1} - \mathcal{P}_{p,p+1}) = \sum_{p=1}^L \frac{1}{2} (1 - \vec{\sigma}_p \cdot \vec{\sigma}_{p+1}) .$$

Higher-Loop Dilatation Generator

Quantum corrections to the dilatation generator:

[^{NB}Kristjansen
Staudacher] [^{NB}hep-th/0310252]

$$\mathcal{D}(g) = \mathcal{D}_0 + g^2 \mathcal{D}_2 + g^3 \mathcal{D}_3 + g^4 \mathcal{D}_4 + \dots$$

Interaction with I in legs & O out legs is of order $\mathcal{O}(g^{I+O-2})$.

$$= \sum_{p=1}^L \dots$$

- Action is homogeneous (along spin chain),
- local (in perturbation theory for sufficiently long chains),
- long-ranged (range grows with order; long-ranged at finite coupling g)
- dynamic (sites can be created or annihilated).

Application of Dilatation Generator

Scalars without derivatives: $\mathfrak{D}_{2(12)} = \mathcal{I}_{(12)} - \mathcal{P}_{(12)} + \frac{1}{2}\mathcal{K}_{(12)}$.

[Minahan
Zarembo]

Example: Two scalars $\mathcal{O}_{kl} = \text{Tr } \Phi_k \Phi_l$:

$$\mathfrak{D}_2 \mathcal{O}_{kl} = 2\mathcal{O}_{kl} - 2\mathcal{O}_{lk} + \delta_{kl} \delta_{mn} \mathcal{O}_{mn} = \delta_{kl} \delta_{mn} \mathcal{O}_{mn}$$

Eigenvalue $D_2 = 0$: Eigenstate: $\mathcal{Q}_{kl} = \mathcal{O}_{kl} - \frac{1}{6} \delta_{mn} \mathcal{O}_{mn}$,

Eigenvalue $D_2 = 6$: Eigenstate: $\mathcal{K} = \delta_{mn} \mathcal{O}_{mn}$.

Example: State of $\mathfrak{su}(2)$ spin chain $\text{Tr } \phi_1^2 \phi_2^2 + \dots$:

$$\mathfrak{D}_2 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 = +2 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 - 2 \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2,$$

$$\mathfrak{D}_2 \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2 = -4 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 + 4 \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2.$$

Eigenvalue $D_2 = 0$: Eigenstate: $\mathcal{O} = 2 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 + \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2$,

Eigenvalue $D_2 = 6$: Eigenstate: $\mathcal{O} = \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 - \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2$.

Duality to Strings

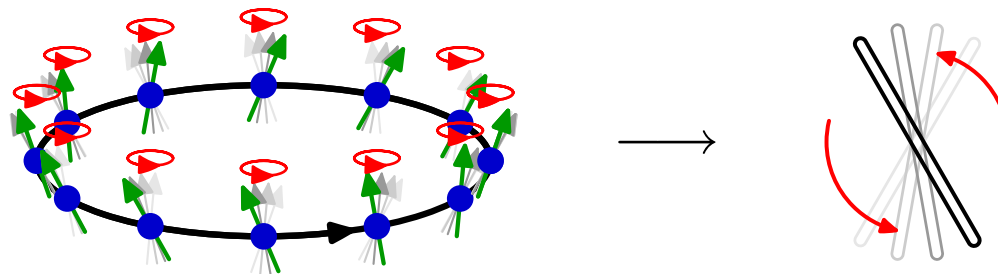
Duality to classical strings in thermodynamic limit:

[Frolov, Tseytlin] [NB, Minahan]
[hep-th/0304255] [Staudacher]
[Zarembo]

- Number of spin sites $L \rightarrow \infty$.
- Number of spin flips $K \rightarrow \infty$.
- Ratio K/L fixed.
- Coherent spins.

Coherent spins for states $|\uparrow\rangle, |\downarrow\rangle$ specified by points on S^3

[Kruczenski]
[hep-th/0311203]



Thermodynamic limit: Spinning string on S^3 .

Effective theory in thermodynamic limit: Landau-Lifshitz sigma model.

Reliable & exact description: Integrability & Bethe equations.

Summary Gauge Theory

Spectrum via **two-point functions**

- Hard combinatorics.
- Loop expansion tedious.

Spectrum via **dilatation generator/spin chain Hamiltonian**

- Combinatorics improved, but still hard for long operators.
- Loop expansion improved, but can be constructed to some extent.

Spectrum via **effective Hamiltonians** from Landau-Lifshitz model

- No more combinatorics, but only approximate results for long operators.
- Loop expansion simpler, but needs input.

Situation improved by **integrability**:

- **Bethe equations** to replace combinatorics.
- Loop expansion trivial. Bethe equations for finite coupling may exist.

Details in **Lectures III & IV**.

Outlook: Bethe Equations

Spin Flips as Excitations

Identify $\phi_1 = |\downarrow\rangle$, $\phi_2 = |\uparrow\rangle$. Vacuum state:

[Bethe, Z. Phys. A71, 205 (1931)]

$$|0\rangle = |\downarrow\downarrow\downarrow\downarrow\downarrow\downarrow\downarrow\downarrow\rangle.$$

A spin flip as a particle (momentum p or rapidity u):

$$|p\rangle = \sum_k e^{ipk} |\downarrow\downarrow\downarrow\cdots\overset{k}{\downarrow}\uparrow\cdots\downarrow\downarrow\rangle.$$

Dispersion relation

$$\mathcal{H}|p\rangle = e(p)|p\rangle.$$

Additive energy (anomalous dimension)

$$\mathcal{H}|p_1, \dots, p_K\rangle = E|p_1, \dots, p_K\rangle, \quad E = \sum_{j=1}^K e(p_j)$$

Asymptotic Bethe Equations in a Sector

Higher-loop Bethe equations for sector of $\{\phi_1, \phi_2\}$.

[NB, Dippel] [Staudacher] [hep-th/0412188]

$$1 = \frac{x(u_k - \frac{i}{2})^L}{x(u_k + \frac{i}{2})^L} \prod_{\substack{j=1 \\ j \neq k}}^K \frac{u_k - u_j + i}{u_k - u_j - i}, \quad x(u) = \frac{1}{2}u + \frac{1}{2}u\sqrt{1 - 2g^2/u^2}.$$

Momentum constraint (cyclity of trace) and higher-loop scaling dimension:

$$\prod_{j=1}^K \frac{x(u_j - \frac{i}{2})}{x(u_j + \frac{i}{2})} = 1, \quad D = L + g^2 \sum_{j=1}^K \left(\frac{i}{x(u_j + \frac{i}{2})} - \frac{i}{x(u_j - \frac{i}{2})} \right).$$

Example: The equations for $L = 4$, $K = 2$ are solved by

$$u_{1,2} = \pm \frac{1}{\sqrt{12}} (1 + 4g^2 - 5g^4 + \dots), \quad D = 4 + 6g^2 - 12g^4 + 42g^6 + \dots$$

Thermodynamic Limit of Bethe equations

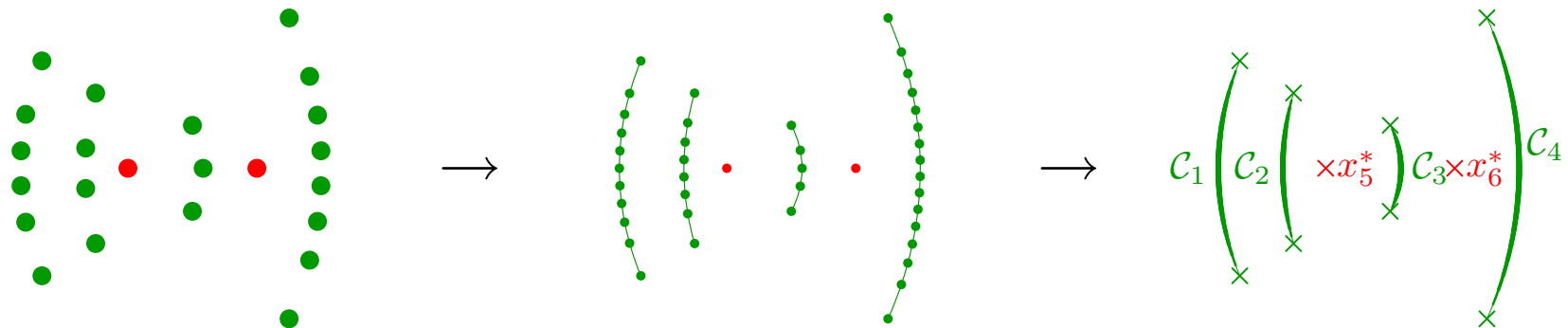
Make contact to strings when:

- Number of spin sites $L \rightarrow \infty$.
- Number of spin flips $K \rightarrow \infty$.
- Ratio K/L fixed.
- Coherent spins.

[Frolov, Tseytlin] [NB, Minahan]
 [hep-th/0304255] [Staudacher]
 [Zarembo]

Rapidities distribute along lines in complex plane

[Sutherland] [NB, Minahan]
 [PRL 74,816] [Staudacher]
 [Zarembo]



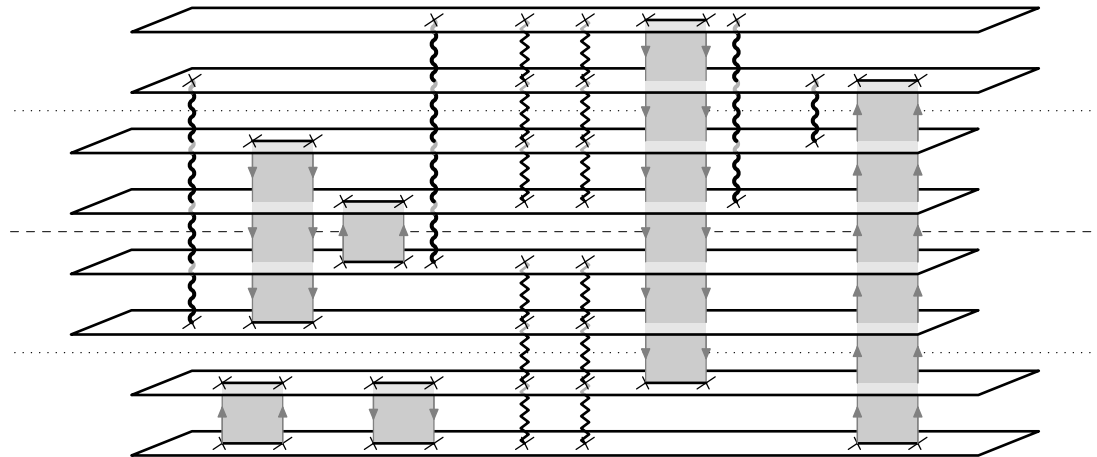
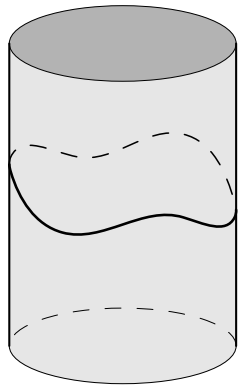
Condensation of roots into branch cuts.

Outlook: Spectral Curves

Spectral Transformation

From embedding of world-sheet to spectral curve

[Kazakov, Marshakov]
[Minahan, Zarembo]



Spectral curve encodes **conserved charges** of a string solution.

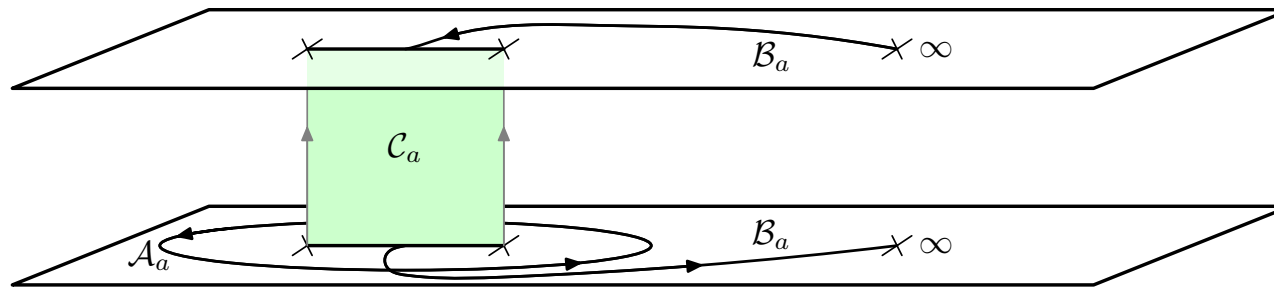
Can read off **Noether charges** (spins & energy) from curve.

Study spectral curves to study the spectrum of classical strings.

Cycles and Modes

Branch cuts: “mode number” $n_a \in \mathbb{Z}$ and “amplitude” $K_a \in \mathbb{R}$

$$\oint_{\mathcal{A}_a} dp = 0, \quad n_a = \frac{1}{2\pi} \int_{\mathcal{B}_a} dp, \quad K_a = -\frac{1}{2\pi i} \oint_{\mathcal{A}_a} \left(1 - \frac{1}{x^2}\right) p(x) dx.$$

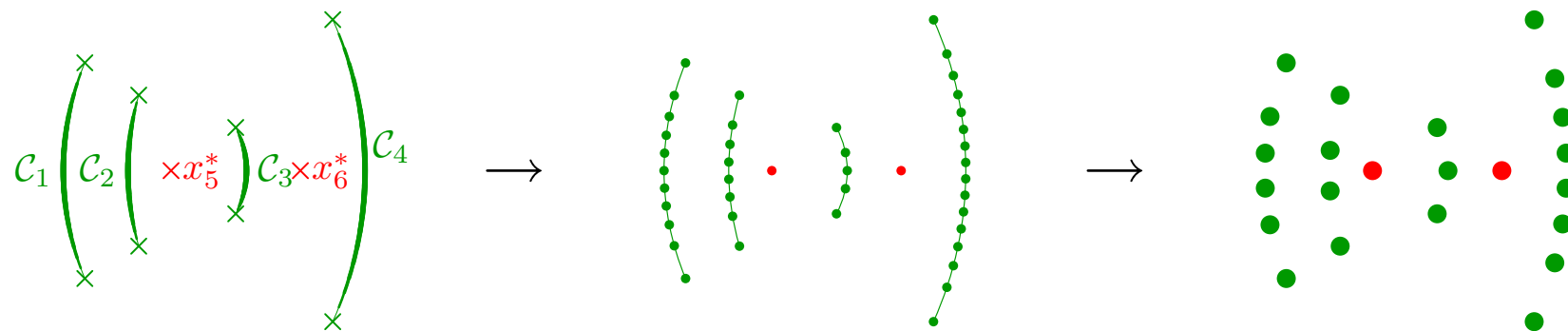


To construct the curve for any solution:

- Make a generic ansatz with a branch cut for each excited string mode.
- Fix the mode number on each cut.
- Fix the amplitude for each cut.
- Read off charges and energy.

Quantisation/Discretisation

In quantum theory: Branch cuts/poles discretise into a set of Bethe roots



Some educated guesses for Bethe equations exist.

[Arutyunov
Frolov
Staudacher]

Complete Asymptotic Bethe Equations

Asymptotic Bethe Equations for $\mathcal{N} = 4$ SYM

Asymptotic Bethe equations derived from S-matrix. [\[NB, Staudacher\]](#) [\[hep-th/0504190\]](#) [\[hep-th/0511082\]](#)

coupling constant

$$g^2 = \frac{\lambda}{8\pi^2}$$

transformation between u and x

$$x(u) = \frac{1}{2}u + \frac{1}{2}u\sqrt{1 - 2g^2/u^2}, \quad u(x) = x + \frac{g^2}{2x}$$

x^\pm parameters

$$x^\pm = x(u \pm \frac{i}{2})$$

spin chain momentum

$$e^{ip} = \frac{x^+}{x^-}$$

local charges

$$q_r(x) = \frac{1}{r-1} \left(\frac{i}{(x^+)^{r-1}} - \frac{i}{(x^-)^{r-1}} \right), \quad Q_r = \sum_{j=1}^{K_4} q_r(x_{4,j})$$

anomalous dimension

$$\delta D = g^2 Q_2 = g^2 \sum_{j=1}^{K_4} \left(\frac{i}{x_{4,j}^+} - \frac{i}{x_{4,j}^-} \right)$$

Bethe equations

$$1 = \prod_{j=1}^{K_4} \frac{x_{4,j}^+}{x_{4,j}^-}$$

$$1 = \prod_{\substack{j=1 \\ j \neq k}}^{K_2} \frac{u_{2,k} - u_{2,j} - i}{u_{2,k} - u_{2,j} + i} \prod_{j=1}^{K_3} \frac{u_{2,k} - u_{3,j} + \frac{i}{2}}{u_{2,k} - u_{3,j} - \frac{i}{2}}$$

$$1 = \prod_{j=1}^{K_2} \frac{u_{3,k} - u_{2,j} + \frac{i}{2}}{u_{3,k} - u_{2,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{3,k} - x_{4,j}^+}{x_{3,k} - x_{4,j}^-}$$

$$1 = \left(\frac{x_{4,k}^-}{x_{4,k}^+} \right)^{K_0} \prod_{\substack{j=1 \\ j \neq k}}^{K_4} \left(\frac{u_{4,k} - u_{4,j} + i}{u_{4,k} - u_{4,j} - i} \sigma^2(x_{4,k}, x_{4,j}) \right) \prod_{j=1}^{K_3} \frac{x_{4,k}^- - x_{3,j}}{x_{4,k}^+ - x_{3,j}} \prod_{j=1}^{K_5} \frac{x_{4,k}^- - x_{5,j}}{x_{4,k}^+ - x_{5,j}}$$

$$1 = \prod_{j=1}^{K_6} \frac{u_{5,k} - u_{6,j} + \frac{i}{2}}{u_{5,k} - u_{6,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{5,k} - x_{4,j}^+}{x_{5,k} - x_{4,j}^-}$$

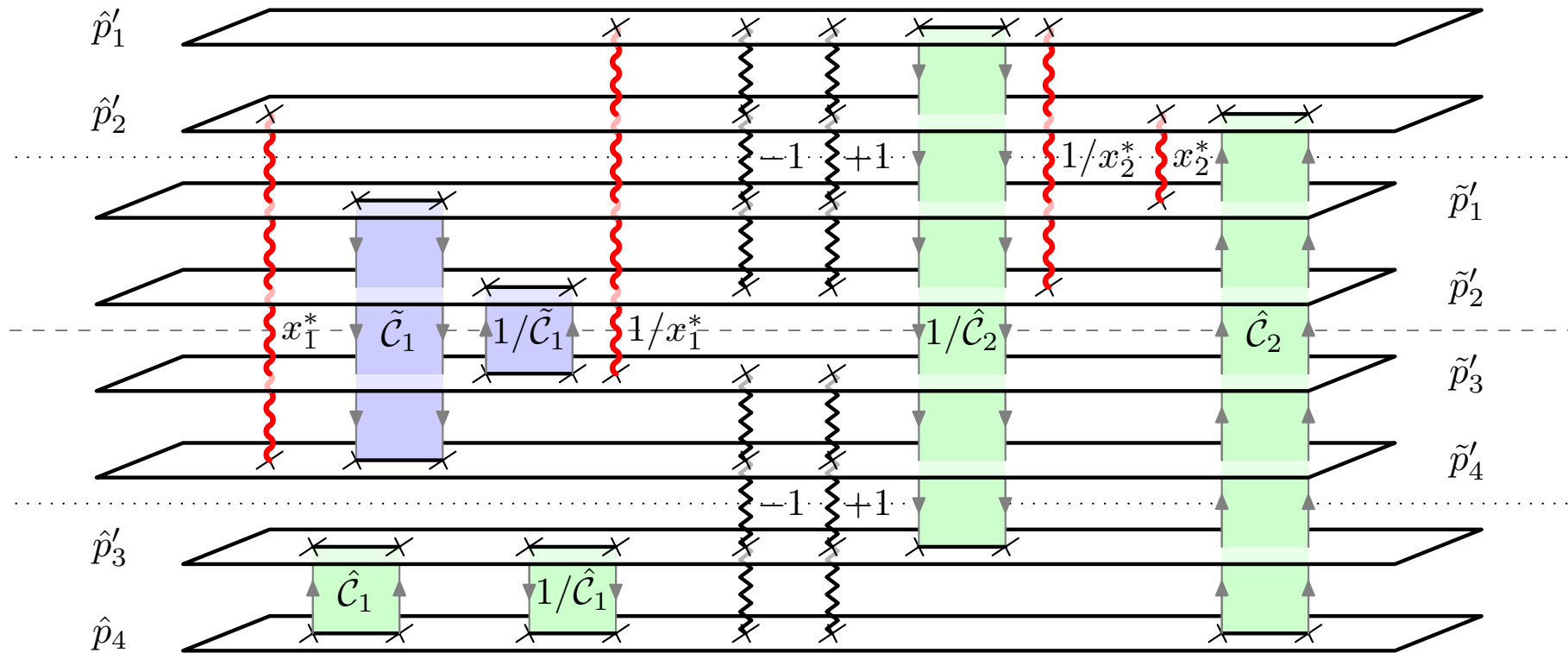
$$1 = \prod_{\substack{j=1 \\ j \neq k}}^{K_6} \frac{u_{6,k} - u_{6,j} - i}{u_{6,k} - u_{6,j} + i} \prod_{j=1}^{K_5} \frac{u_{6,k} - u_{5,j} + \frac{i}{2}}{u_{6,k} - u_{5,j} - \frac{i}{2}}$$

some free parameters in $\sigma(x_1, x_2)$, for $\mathcal{N} = 4$ SYM: $\sigma = 1$

Should work **asymptotically to $\mathcal{O}(g^{2K_0})$** . **Tested at three loops.**

Much better than by field theory and Feynman diagrams.

Complete Algebraic Curve



- $p'(z)$ is a curve of degree $4 + 4$.
- Bosonic modes: Square roots, branch cuts (Bose condensates).
- Fermionic excitations: Poles (Pauli principle).
- Branch cuts/poles have associated mode number n (position in \mathbb{C}).
- Branch cuts have associated amplitude (length).

[NB, Kazakov
Sakai, Zarembo]

Bethe Equations for Quantum Strings

Conjectured Bethe equations for quantum strings

[Arutyunov
Frolov
Staudacher] [NB, Staudacher
hep-th/0504190]

coupling constant

$$g^2 = \frac{\lambda}{8\pi^2}$$

transformation between u and x

$$x(u) = \frac{1}{2}u + \frac{1}{2}u\sqrt{1 - 2g^2/u^2}, \quad u(x) = x + \frac{g^2}{2x}$$

x^\pm parameters

$$x^\pm = x(u \pm \frac{i}{2})$$

spin chain momentum

$$e^{ip} = \frac{x^+}{x^-}$$

local charges

$$q_r(x) = \frac{1}{r-1} \left(\frac{i}{(x^+)^{r-1}} - \frac{i}{(x^-)^{r-1}} \right), \quad Q_r = \sum_{j=1}^{K_4} q_r(x_{4,j})$$

anomalous dimension

$$\delta D = g^2 Q_2 = g^2 \sum_{j=1}^{K_4} \left(\frac{i}{x_{4,j}^+} - \frac{i}{x_{4,j}^-} \right)$$

Various $\mathcal{O}(1/L)$ tests

[NB, Tseytlin
Zarembo] [Hernández, López
Periáñez, Sierra] [NB, Freyhult
hep-th/0506243] [Schäfer-Nameki
Zamaklar, Zarembo] [NB, Tseytlin
hep-th/0509084]

Bethe equations

$$1 = \prod_{j=1}^{K_4} \frac{x_{4,j}^+}{x_{4,j}^-}$$

$$1 = \prod_{j=1}^{K_2} \frac{u_{2,k} - u_{2,j} - i}{u_{2,k} - u_{2,j} + i} \prod_{j=1}^{K_3} \frac{u_{2,k} - u_{3,j} + \frac{i}{2}}{u_{2,k} - u_{3,j} - \frac{i}{2}}$$

$$1 = \prod_{j=1}^{K_2} \frac{u_{3,k} - u_{2,j} + \frac{i}{2}}{u_{3,k} - u_{2,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{3,k} - x_{4,j}^+}{x_{3,k} - x_{4,j}^-}$$

$$1 = \left(\frac{x_{4,k}^-}{x_{4,k}^+} \right)^{K_0} \prod_{j=1}^{K_4} \left(\frac{u_{4,k} - u_{4,j} + i}{u_{4,k} - u_{4,j} - i} \sigma^2(x_{4,k}, x_{4,j}) \right) \prod_{j=1}^{K_3} \frac{x_{4,k}^- - x_{3,j}}{x_{4,k}^+ - x_{3,j}} \prod_{j=1}^{K_5} \frac{x_{4,k}^- - x_{5,j}}{x_{4,k}^+ - x_{5,j}}$$

$$1 = \prod_{j=1}^{K_6} \frac{u_{5,k} - u_{6,j} + \frac{i}{2}}{u_{5,k} - u_{6,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{5,k} - x_{4,j}^+}{x_{5,k} - x_{4,j}^-}$$

$$1 = \prod_{j=1}^{K_6} \frac{u_{6,k} - u_{6,j} - i}{u_{6,k} - u_{6,j} + i} \prod_{j=1}^{K_5} \frac{u_{6,k} - u_{5,j} + \frac{i}{2}}{u_{6,k} - u_{5,j} - \frac{i}{2}}$$

function $\sigma(x_1, x_2)$ for quantum strings, coefficients: $c_{r,s} = \delta_{r+1,s} + \mathcal{O}(1/g)$

$$\sigma(x_1, x_2) = \exp \left(i \sum_{r < s=2}^{\infty} \left(\frac{1}{2}g^2 \right)^{(r+s-1)/2} c_{r,s}(g) (q_r(x_1) q_s(x_2) - q_r(x_2) q_s(x_1)) \right)$$